

1 **Effects of thinning a forest stand on sub-canopy turbulence**

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21 **Abstract**

22 The density of a forest canopy affects the degree of influence of vegetation on the mean
23 and turbulence flow fields. Thinning a forest *in situ* is difficult and expensive therefore many
24 studies investigating the effects of changing canopy density have been done in wind tunnels or
25 with modeling. Here, we analyze data collected at 0.13h, 0.83h, and 1.13h (canopy height; h = 21
26 m) as the surrounding loblolly pine stand was progressively thinned three times. The first
27 thinning removed the understory and the two subsequent thinnings removed whole trees leading
28 to a 60% reduction in the overall stand density. As the forest was thinned, turbulence and wind
29 speed near the surface (0.13h) increased and became more connected with above the canopy
30 (1.13h). The variation of the three-dimensional wind components increased for 0.13h when the
31 understory was thinned. Turbulence at 0.83h and 1.13h increased when whole trees were
32 removed (2nd and 3rd thinning). An increase in the peak spectral power of the 0.13h vertical
33 velocity indicated an increase in the influence of larger eddies surviving through the canopy, but
34 these did not affect the vertical turbulence or momentum transfer.

35

36 **1 Introduction**

37 The density of a forest stand impacts the local flow and thermal fields leading to complex
38 interactions between canopy geometry, turbulent transport and biophysical effects (Albertson et
39 al., 2001; Starkenburg et al., 2015). An increase (decrease) in the stand density increases
40 (decreases) the amount of turbulence damping and momentum absorption (Pujol et al., 2013).
41 For the densest canopies, the majority of momentum absorption occurs in upper parts of the
42 canopy where the majority of the foliage resides, limiting the impact of the underlying surface
43 roughness on the flow (Huang et al., 2013; Yue et al., 2007). As the stand density decreases, the
44 flow transitions from the mixed layer analogy (Raupach et al., 1996) toward a more classical
45 boundary layer with isolated roughness elements (Pietri et al., 2009; Poggi et al., 2004).
46 However, the way this transition occurs and how sparse the canopy needs to be for this transition
47 to occur is unknown.

48 Changing turbulence with canopy density creates a direct connection between the within-
49 canopy turbulence, stand density, and depth into the canopy (Burns et al., 2011; Chamecki, 2013;
50 Green et al., 1995; Russell et al., 2016). Measurements of the *in situ* vertical turbulence profile
51 have been used to study leaf-on/leaf-off cycles (Lee et al., 2011; Staebler and Fitzjarrald, 2005)
52 and from the effects of changing stand densities via comparisons of different forests with
53 different canopy densities (Finnigan, 2000). However, studies with *in situ* measurements where
54 the surrounding stand density is changed are rare outside of wind tunnels (Green et al., 1995;
55 Thistle et al., 2011).

56 The change from a perturbed mixing layer (dense canopy) to a wall-bounded boundary
57 layer with irregularly placed obstacles (Pietri et al., 2009; Poggi et al., 2004) is often investigated
58 using the standard deviation, skewness, and kurtosis within the canopy. Above the canopy, these

59 statistics are not as strongly affected (Finnigan, 2000; Novak et al., 2000; Poggi et al., 2004). For
60 different canopy types and densities, these values show that the vertical profile of turbulence
61 above the canopy under neutral stability converges when normalized by friction velocity (u_*)
62 measured at the canopy top (h_c) (Finnigan, 2000; Raupach et al., 1996). Within the canopy, there
63 is a wider variation within the turbulence and wind profiles based off the canopy type, density,
64 and surrounding conditions. The variation in the turbulence statistics with increasing stand
65 density can explain some of the variability in the within-canopy portions of the “family portraits”
66 (Novak et al., 2000).

67 Changes in the stand density modulate the turbulent structures affecting the scalar and
68 momentum transfer through the canopy (Poggi et al., 2004). Stability is the other major factor
69 driving the structure of turbulence structures in the sub-canopy (Dupont and Patton, 2012a,
70 2012b; Patton et al., 2016; Su et al., 2004; Thomas et al., 2013). Even at the lowest stand
71 densities considered in the cited literature, the turbulence profile is consistent with a canopy-
72 influenced profile (Novak et al., 2000; Pietri et al., 2009). By staggering the alignment of the
73 canopy within a wind-tunnel, Pietri et al. (2009) concluded that a staggered canopy reduces the
74 canopy’s porosity and enhances tree-wake interactions. This creates a more even foliage layer to
75 absorb momentum and separate the within-canopy layer from the atmosphere above. Unlike row
76 crops and aligned forests, a more even distribution of the vegetation does not have the same wind
77 direction dependence (Chahine et al., 2014).

78 To the best of our knowledge from a literature search, two datasets have been compiled
79 from *in situ* measurements of a thinned forest (excluding leaf-on/leaf-off comparisons). Green et
80 al. (1995) presented results for three plots (0.8 hectares each) thinned at different densities within

81 a larger forest. Their results showed that tree spacing and canopy density are major factors in
82 modifying canopy turbulence; in sparser canopies, more wind can penetrate the canopy due to
83 local variations in the canopy density producing a spatially variable wind field. Edburg et al.
84 (2010) described aspects of canopy flow and dispersion for a circular, 1.13 hectares (60m radius)
85 progressively thinned loblolly pine forest finding that the lower canopy density increases the
86 wind speed and turbulence within the canopy accelerating plume dilution while decreasing
87 plume meandering. Thistle et al. (2011) showed that the more open canopy allows for more
88 interaction between the sub-canopy and free atmosphere enhancing mixing and the possibility of
89 coupling with the loss of the understory. The understory increases the number of roughness
90 elements near the surface with which the flow can interact. Effects of the overstory on the flow
91 have been described (Bai et al., 2012, 2015; Lee et al., 2011) more thoroughly than the effects of
92 the understory (Blanken, 1998).

93 In this work, we investigate the effect of changing forest density on turbulence structure
94 using the data introduced in Edburg et al. (2010) and Thistle et al. (2011) to describe how
95 changing the density through the understory differs from removal of whole trees. The data set
96 presented here is unique as the data were collected within the same forest stand without moving
97 the instruments while the stand was successively thinned (Thistle et al., 2011). Previous work
98 using these data has focused mainly on impacts of the stand thinning on tracer dispersion. The
99 objective for this work is to investigate the effects of a decrease in canopy density on the
100 evolution of the mean turbulence structure and connection between within and above canopy
101 measurements as canopy density decreases.

102 **2 Data and Methods**

103 ***2.1 Data Site***

104 Measurements were recorded at 10-Hz at three heights on the same tower (0.13h, 0.83h,
105 and 1.13h; where $h = 21$ m was the average stand height) using Vx Probe sonic anemometers
106 (Applied Technologies, Inc., Longmont, CO) in a loblolly pine forest near Winnfield, Louisiana
107 USA (Winn Ranger District, Kisatchie National Forest; 31° 53' 23.3" N, 92°50' 39.9" W, Figure
108 1). Data were collected in the morning to early afternoon from May 14 to May 28, 2004
109 coinciding with the tracer releases (Thistle et al., 2011). Over the study period, the forest was
110 thinned three times (Table 1) at a radius of 60 m leading to a total thinned area of 1.13 hectares
111 (Thistle et al., 2011). Whether the fetch was long enough for the flow to reach a new equilibrium
112 with the changed canopy density is unknown. The first thinning (T1) removed the understory
113 which consisted of brush and red maple saplings, some of which extended up into the upper
114 canopy. During the other two thinning periods (T2, T3), whole trees were removed by a heavy
115 tractor with a grapple able to manipulate the removed trees. The measurement tower was not
116 disturbed during this process so the sonic anemometers maintained a consistent set-up. Mean
117 meteorological data were collected from the nearest airport station at Natchitoches, LA (KIER)
118 located approximately 30 km southwest of the measurement site. For more details regarding the
119 experimental set-up and tracer-dispersion results see Thistle et al. (2011).

120 The sonic anemometer data used here were collected between 12:00 UTC and 20:00
121 UTC. Data were pre-processed by despiking (Vickers and Mahrt, 1997) and checked against the
122 instrument measurement limits. No coordination rotations were performed to preserve the effect
123 of changing canopy geometry on the 3-dimensional flow components. Hereafter, u , v , w , and V
124 refer to the longitudinal, lateral, vertical, and mean streamwise winds ($V = [u^2 + v^2]^{1/2}$),
125 respectively. Data were block averaged for the entirety of each thinning period. The statistics in

126 Section 3.1 were calculated from the 100 second averages. Following convention, 30-minute
127 averages were used to calculate the stability metrics presented in Section 3.2.

128 A RemTech PA0 SODAR (Remtech, Inc., Velizy France) was located approximately 2
129 km west of the tower site in a clearing (Figure 1) and operated from May 15 to 27, 2004. The
130 SODAR measured 15 min mean horizontal wind speed and direction at 20 m increments from 20
131 to 600 m over the same period as the sonic anemometer collected data. Only data collected from
132 20 to 400 m above ground level were used due to the sparse data above 400 m.

133 ***2.2 Global Wavelet Transform***

134 Overall, 177 half-hour periods were measured. Of these, 15 were incomplete half-hours
135 leaving a total of 162 full half-hour data blocks. Mean global wavelet spectra were calculated
136 from 30-minute blocks for each thinning and height. The 10 Hz data were block averaged to 1 Hz
137 before the wavelet transform was calculated using a Morlet mother wavelet (Terradellas et al.,
138 2001, 2005; Torrence and Compo, 1998). Spectral powers were normalized by the respective
139 components' standard deviation (σ_x) (where x represents the u , v , and w components). The
140 frequency was normalized by the mean streamwise wind speed (V) at each height and the height
141 of the canopy (h_c). Hereafter, normalized frequencies are referred to as " n " ($n = fh_c/V$) and the
142 natural frequencies as " f ". Reported slopes were determined between the peak spectral energy
143 and the spectral energy 1.5 decades times the frequency of the peak spectral energy except for T1
144 at 1.13h. The peak for T1 at 1.13h occurred before the roll-off so the peak before the roll-off was
145 used as the starting point.

146 **3 Results**

147 ***3.1 Synoptic Conditions***

148 A cold front moved through the northern and western portion of Louisiana between May
149 14 and May 15, stalling out over the eastern portion of the state on the border with Mississippi
150 on May 16 (Figure 2). By 7 am Eastern Standard Time on May 17, the front had passed out of
151 the region, leaving the measurement site under the influence of a high-pressure system. No other
152 surface-based synoptic feature passed through the region during the measurement period. The
153 high-pressure system kept the low-level SODAR-based wind direction consistent for the rest of
154 the study period (Figure 3).

155 The wind direction shifted from approximately 135 degrees to between 225 and 315
156 degrees between May 16 and May 17, one day before the transition from UT to T1. This kept the
157 SODAR upwind of the measurement site from May 17 onward. T2 and T3 had similar mean
158 wind speed profiles after increases from UT to T1 to T2. Approximating $u_* = kz \frac{\partial u}{\partial z}$, where k is
159 the von Karman constant (0.4), z is the measurement height, and $\frac{\partial u}{\partial z}$ is the vertical wind speed
160 gradient, an estimate for the friction velocity (u_*) can be determined for each thinning within the
161 canopy roughness layer. The canopy roughness layer is estimated to range between 2-5 times the
162 canopy height (40-100 m here) (Hammerle et al., 2007; Thomas, 2011). With an inflection point
163 observed in the SODAR data, we used the wind speeds between 60-200 m from the SODAR for
164 the u_* estimation. In this zone, u_* was similar for UT to T2 at 1.13h, ranging between 0.55 m s^{-1}
165 to 0.58 m s^{-1} , and it increased to 0.77 m s^{-1} for T3. The SODAR-based u_* values were similar
166 with u_* at 1.13h (UT: 0.50 m s^{-1} , T1: 0.54 m s^{-1} , T2: 0.71 m s^{-1} , T3: 0.79 m s^{-1}) so the turbulence
167 level just above the canopy was consistent with the broader canopy roughness layer.

168 ***3.2 Mean statistics and flow field***

169 The distributions for the u - and v -components at 0.13h were similar through each
170 thinning whereas distribution of the horizontal components at 1.13h changed from UT to T3
171 (Table 2, Figure 4). This was in part due to the changing synoptic conditions per Section 3.1
172 (Table 1). Changes in the distribution of the 0.83h horizontal components resembled 1.13h
173 though the distribution of w -component was more similar to 0.13h. The w -component
174 distribution at 1.13h changed minimally from UT to T3. At 0.13h, w was still centered near-zero
175 but broadened with reduced skew and kurtosis from UT to T3. The loss of the understory (UT to
176 T1) did not affect the distribution of the vertical velocity at 0.13h or 0.83h. As the canopy was
177 thinned (T2 and T3), the skew and kurtosis of the horizontal wind fields became more similar
178 among all the heights. There was a decreasing trend in the kurtosis values as the canopy density
179 was reduced. Even with a sparser canopy, w was constrained within a narrower distribution at
180 0.13h and 0.83h compared to 1.13h.

181 ***3.3 Mean vertical profiles and stability***

182 Overall, the mean flow at 0.13h did not change relative to 0.83h with each thinning
183 (Figure 5a, 5b). The vertical velocities at each height presented relatively minimal change
184 through each thinning (Table 2). Mean V increased with each thinning though mostly in the u -
185 component (Figure 5a). Larger gains in V occurred at T2 and T3 when whole trees were removed
186 from the canopy. The overlying wind speeds increased through the study period (Figure 3),
187 which partially accounted for the change of wind speed at 1.13h independent of the canopy
188 effects (Table 2). From UT to T1, all the standard deviations at 0.13h increased, suggesting less
189 filtering of the variation within the mean wind. The lack of change in the standard deviations at
190 0.83h and 1.13h from UT to T1 indicates removing the understory only affected the results at
191 0.13h. For T2 and T3. The standard deviations at each height increased as the momentum

192 absorption by the foliage was reduced and the overlying wind speed increased. The standard
 193 deviation in each flow component increased with each thinning (Figures 5d-f). From UT to T1,
 194 σ_u and σ_v increased relatively more than σ_w at 0.13h while there was some increase in all three
 195 standard deviations at 1.13h. AT 0.83h, σ_w decreased from UT to T1 and increased through to T3.

196 Comparing the change in V and u_* for each height at each half-hour from the sonic
 197 anemometer data (y-value) with the SODAR mean wind (x-value) shows the influence of the
 198 changing overlying wind speed in conjunction with the canopy thinning (Figure 6). From UT to
 199 T1, the slope (via an ordinary least squares regression) changed for 0.13h but less so at the other
 200 heights (Table 3). The consistency of the slope at 0.83h and 1.13h for both u_* and V across the
 201 period shows the general control of the synoptic wind speeds on the upper two heights. The slope
 202 change, lower R^2 , and data (Figure 6) suggest a lower degree of control of the overlying winds at
 203 0.13h for UT and T1 compared to T2 and T3. Scatter of the data reduced deeper in the canopy
 204 following the distribution from Section 3.2 and deeper in the canopy had a lower range of wind
 205 speed and turbulence across the same range of synoptic scale winds. The UT points with higher
 206 wind speeds that exhibited lower V and u_* values were the reason for the negative R^2 for UT.
 207 From T1 onward, the increases in the SODAR mean wind coincided with increases in u_* and V .
 208 From May 17 onward, the SODAR was generally upwind of the site and its data represent the
 209 inflow to the site.

210 Given the timing of the data, stability was plotted in three ways to account for different
 211 aspects of stability: the vertical virtual sonic temperature gradient ($d\theta_v/dz$), z/L , (where $L =$
 212 $\frac{\theta_v u_*^3}{kgw'\theta'}$ is the Obukhov length and z was the measurement height), and the flux Richardson
 213 number ($Ri_f = \frac{g}{\theta_v} \frac{\overline{w'\theta'}}{\overline{w'V}(\Delta V/\Delta z)}$) (Figure 4). The upper layer (1.13h to 0.83h) was generally positive

214 for $d\theta_v/dz$ while the in-canopy layer (0.83h to 0.13h) was mostly negative for $d\theta_v/dz$ (Figure 7a
215 and 7b). However, overall for each thinning, each height was generally unstable by way of z/L
216 (Figure 7c and 7d) and dynamically unstable through the flux Richardson number (Figure 7e and
217 7f). Admittedly, z/L is not the best metric for forest and weak-wind, low turbulence stability
218 characterization (Vickers and Mahrt, 2003; Williams et al., 2013). From Ri_f , we can gauge the
219 relative contribution of the buoyant and mechanical terms to the overall level of turbulence along
220 with stability (Stull, 1988). Ri_f was primarily negative, with smaller values in the 0.13h-0.83h
221 layer compared to higher in the canopy indicating more similar thermal and mechanical
222 contributions lower in the canopy compared to the upper layer. The upper layer used here goes
223 through the top-of-canopy shear layer. All three metrics were relatively less variable with the
224 sparser canopies (T2 and T3) as the penetration of net radiation and turbulence is more likely.

225 With the change in canopy density, the relationship between turbulence and stability
226 became more constant within each height (Figure 8). For UT, there was some scatter in σ_w with
227 $d\theta_v/dz$ which was not apparent in T1 forward (Figures 8a, 8c, and 8e). The increase in σ_w with
228 increase in the temperature gradient was consistent across each thinning and height, the larger σ_w
229 values occurred with the larger temperature gradient. With respect to the local z/L (Figures 8b,
230 8d, and 8f), a similar pattern was observed. As the canopy density was reduced, the z/L values
231 were more concentrated closer to zero and the levels of σ_w were generally higher. 0.13h still
232 exhibited some of the same scatter for T3 as UT (Figure 8f) so the canopy was still dense enough
233 there to maintain some impact on stability deeper in the canopy, consistent with what was
234 observed in Figure 7.

235 ***3.4 Comparison to other Studies***

236 The vertical profile of friction velocity (u_*) was plotted as a reference for the level of
 237 turbulence for each thinning at each height (Figure 9a). The normalizations were accomplished
 238 with the relevant value at 1.13h and the results from this work were compared to those from
 239 several previous studies (e.g., Finnigan, 2000; Green et al., 1995; Novak et al., 2000; Raupach et
 240 al., 1996). Changes in canopy density did not have a strong effect on the normalized horizontal
 241 flow field (Figures 9b-9f). Values of σ_w/u_* fell outside the range of values from other studies
 242 (shading in Figure 9) for 0.83h and 1.13h (Figure 9c). The normalized horizontal flow variations
 243 (σ_v/u_*) were consistent with previous studies (Figure 6d). Normalized momentum transfer
 244 ($\overline{w'V'}/u_*^2$, Figure 9e) and R_{wv} ($R_{wv} = \frac{\overline{w'V'}}{\sigma_w\sigma_v}$, Figure 9f) at 0.83h and 1.13h likewise fell near the
 245 edges of the ranges from previous studies. This is a result of the normalization height being
 246 above the canopy instead of at the canopy top as in the cited literature. As some correlation
 247 existed in the horizontal wind ($R_{uv} = 0.24-0.38$) even with minimal R_{wv} , this supports the idea of
 248 the flow “sloshing” near the surface without being transported vertically (Boldes et al., 2007).

249 The shear length scale ($L_s = V_h/(\Delta V/\Delta z)$) normalized by canopy height (L_s/h_c) increased
 250 from 0.44 for UT to 0.58 for T3 (T1 = 0.46 and T2 = 0.49). The increase and magnitude of L_s/h_c
 251 with decreased canopy density is consistent with previous studies (Finnigan, 2000; Raupach et
 252 al., 1996). Since the mean tree spacing did not change between UT and T1, the value of L_s/h_c
 253 should remain similar.

254 Viewing these results in context with the varying synoptic conditions (Sections 3.1 and
 255 3.3), we can infer that synoptic conditions had less influence on the range of the normalization
 256 within a “family portrait” style plot than thinning (Figure 9), especially given the consistency of
 257 the relations between the overlying wind speed with u_* (Table 3). The variety of tree species and

258 canopy densities represented by the cited studies as well as the similarities of the results suggest
259 that tree type and density do not have a strong influence over the mean vertical profile of sub-
260 canopy and near-canopy normalized values.

261 **3.5 Wavelet turbulence structure**

262 For UT at 0.13h, the characteristic spectral short-circuiting (SSC) pattern was observed
263 without the secondary high frequency maximum (Figure 10) which is often associated with
264 wake production behind trees (Dupont et al., 2012; Green et al., 1995). SSC manifests as a faster
265 roll-off from peak frequencies to higher frequencies compared to the $-2/3$ power law (Cava and
266 Katul, 2008). The slope for the u - and v -spectra at 0.13h decreased from -1.25 and -1.23 for UT,
267 respectively, and to -1.0 and -0.84, respectively, for T3. At 1.13h, the slopes varied between -
268 0.62 and -0.71 across the period, consistent with the $-2/3$ power law as observed in other
269 canopies (Blanken, 1998; Finnigan, 2000; Liu et al., 2001; Van Gorsel et al., 2003). The shape of
270 the 0.83h spectra was similar to the shape of the 1.13h spectra, but the slope at 0.83h decreased
271 from UT for both u (-0.67) and v (-0.64) to T3 ($u = -0.43$ and $v = -0.49$). The peak w -spectral
272 powers for both 1.13h and 0.83h did not vary much between each thinning (± 0.2) with the peak
273 frequency being relatively stable for 1.13h with n between 0.33 and 0.36. At 0.83h, n shifted
274 from 0.59 for UT to 0.78 for T3. At 0.13h, the shift in peak w -spectra power was akin to the
275 upper two heights (UT = 2.37 to T3 = 2.58) but more dramatic for the normalized frequency
276 from $n = 0.51$ at UT to $n = 1.25$ at T3.

277 The slopes for the w -spectra at 1.13h changed from -0.76 (UT) to -0.66 (T3) and 0.83h
278 from -0.74 (UT) to -0.77 (T3) indicating a less steep roll-off of energy with the decreased canopy
279 density and increased overlying turbulence compared to 0.13h. The slopes for the w -spectra at
280 0.13h increased from UT (-0.44) to T3 (-0.59) indicating a slower initial loss of energy for

281 vertically orientated structures compared to above the canopy and a transition toward a more
282 consistent slope across all heights. For all the heights, the low frequency (pre-spectral peak)
283 portion of the horizontal spectra smoothed out as the canopy density was reduced.

284 With the denser understory for UT, small-scale structures can be confounded due to
285 overlapping scales of interactions from the different obstacle sizes (Bai et al., 2015). The
286 combination of the wind speed and diameter of the trees (d) pushes the potential vortex-shedding
287 frequency (f_{vs}) via the Strouhal number ($St = \frac{f_{vs} * d}{V}$) to frequencies smaller than what was
288 measurable with this set-up (Cava and Katul, 2008; Dupont et al., 2012). The resolution of the
289 sonic from its path-length (15 cm) and precision (0.01 m s^{-1}) limit its ability to measure eddies
290 smaller than 15 cm (sonic path length). This is equivalent to frequencies in the range of 5-10 Hz
291 from the measured mean winds. The diameter of an obstacle to produce an eddy of at least 5 Hz
292 at these wind speeds is then between 0.05-0.1 m. Since the data were averaged to 1Hz prior to
293 the transform, this shifted the diameter of an obstacle that could produce a measurable wake
294 structure to approximately 0.4 m or larger when $V = 2 \text{ m s}^{-1}$. With the measurement set-up and
295 data processing utilized here; the small-scale wakes that are often reported through this type of
296 analysis would not be visible within our data due to the sonic's path-averaging.

297 **4 Discussion**

298 Removing the understory (UT to T1) but retaining the overstory did not have a noticeable
299 impact on the turbulence profile above 0.13h. If the understory were removed last instead of first,
300 the changes in turbulence at 0.13h may have been different as the smaller obstacles would have
301 remained and kept the near-surface canopy density relatively high compared to the rest of the
302 canopy. Reducing the canopy density reduced the absorption of the variation in the flow field by

303 the canopy elements and increased the amount of momentum transported through the canopy
304 through reduced drag influence (Sun et al., 2006). However, the flow at 0.13h is not entirely
305 driven by top-down momentum transfer (Staebler and Fitzjarrald, 2005) so flow in the lower
306 canopy is not entirely reliant on transport from above the canopy. There was a proportional
307 change between the mean u_* and wind speed so the normalized profiles did not show change
308 much with each thinning. The general similarity with the previous studies and across each
309 thinning with the changing overlying wind speed shows that the normalized profiles do not have
310 a strong dependence on the above-canopy wind speed as they are directly related through the
311 roughness of the surface.

312 With the thinning of the canopy, the similarities between 0.13h and 1.13h increased in the
313 mean flow and turbulence relationship but there remained some differences in the turbulence
314 structure. From UT to T1, removal of the understory eliminated the effects of small obstacles
315 leaving interactions primarily with the larger obstacles, changing the shape of the w -spectra
316 (Figure 10i). The horizontal spectra at 0.13h were similar at both UT and T1 as the effects of the
317 understory on the spectra were too small to be measurable. Individual tree branches are not as
318 thick as the tree trunks so any flow-interaction effects would be at scales too small to be captured
319 by sonic anemometers or confounded by multiple interactions (Bai et al., 2015). However,
320 sufficiently thick clumps of branches or needles may convey a similar effect as the trunk. The
321 peak spectral power across each thinning remained relatively consistent though there was an
322 increase in the turbulence level and length scale as the canopy was thinned.

323 The change of the slope the horizontal spectra at 0.13h from UT to T3 indicates some
324 change in the size of the eddies and structures nearest the surface with the changing stand

325 density. By T3, the u and v spectra at 0.13h began to resemble those at the upper two heights.
326 But the SSC shape remained, thus, even at a 60% reduction in the forest density, there were
327 enough interactions with tree trunks proximate to the measurement point to affect the shape of
328 the horizontal 0.13h spectra. An increase in the vertical velocity spectral power is consistent with
329 an increase in the length scale and reduction in the interaction of the flow with the vegetation as
330 the spacing within the canopy increases (Green et al., 1995) but occurred only after the
331 understory was thinned. Deeper in the canopy, turbulence is better defined by smaller scale
332 structures (Chamecki, 2013; Nepf et al., 2007; Poggi et al., 2004) mostly incapable of
333 exchanging air with above the canopy. Opening of the tree spacing increases the potential
334 penetration depth of structures into the canopy and the portion of the canopy “flushed” by larger,
335 above canopy-based eddies (Chamecki, 2013).

336 Large eddies that could penetrate through the canopy can enhance the low frequency
337 contribution to the variances and fluxes (Prabha et al., 2008) and potentially cause interactions
338 between larger eddies and the locally generated turbulence (Gao et al., 2016). This manifest itself
339 by the smoothing of the low frequency portion of the 0.13h and 0.83h spectra with each thinning.
340 The increase in the potential mixing due to larger structures penetrating deeper into the canopy
341 as a result of the reduction in density would aid in the dilution and transport of scalars (Cava and
342 Katul, 2008; Thistle et al., 2011). Hence the increased dilution with decreased stand density
343 described in Thistle et al. (2011) and Edburg et al. (2010) for this site resulted from increased
344 turbulent mixing and exchange between within-canopy and above-canopy air.

345 **5 Conclusions**

346 The thinning of the forest had an impact on the turbulence structure but effects on the
347 mean flow could not be separated from the changing synoptic conditions with the data available.

348 Thinning of the understory leaves tree trunks intact caused small changes in the horizontal
349 spectra at 0.13h but affected the high frequency range of the vertical spectra at this height. The
350 effects from UT to T1 did not translate to the rest of the canopy beyond 0.13h because only the
351 near-surface vegetation density was affected. Thinning the whole canopy reduced the overstory,
352 leading to increased mixing and a better coupling between the canopy layers and the atmosphere
353 as larger eddies could penetrate through the canopy. The spectral slopes at 0.13h shifted toward -
354 $2/3$ with each thinning while the upper two heights tended to be around this value during the
355 whole period. The reduced canopy density created more consistent spectral and flow profiles
356 across each height as the canopy became more porous to larger top-down structures. Multiple
357 levels of eddy covariance measurements covering the entire day over longer time periods for
358 each canopy density are needed to fully describe the effects of the changing canopy density and
359 synoptic conditions on turbulence, stability, and fluxes.

360

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496

497 **Figures**

498 **Figure 1.** Four- panel plot showing the location of the field site with a white arrow (upper left),
499 and a closer view of the site with the SODAR location also marked with a white arrow (lower
500 left). The right column shows the site before any thinning occurred (UT, upper right) and after
501 the third thinning (T3, bottom right).

502 **Figure 2.** Surface weather maps for 7am EST for the first four days of the study period from
503 NOAA's Daily Weather Map series. The blue triangle in Louisiana represents the general area of
504 the field site.

505 **Figure 3.** Vertically plotted SODAR data for wind speed (left column) and wind direction (right
506 column) as an average for each thinning period (top row) and each day (bottom row). The black
507 lines in the daily legend indicate the thinning periods starting with UT to T3.

508 **Figure 4.** Distribution of the u (a, b), v (c, d), and w (e, f) wind components at 0.13h (left) and
509 1.13h (right) for each stand density.

510 **Figure 5.** Vertical profile of u (a), v (b), w (c), σ_u (d), σ_v (e), and σ_w (f) for all 4 stand densities.

511 **Figure 6.** Comparison between the SODAR mean wind speed and the tower-measured friction
512 velocity (u_* , left column) and mean horizontal wind speed (V , right column) for each 30-minute
513 data point (circles) and averaged for each thinning period (x's) at each height.

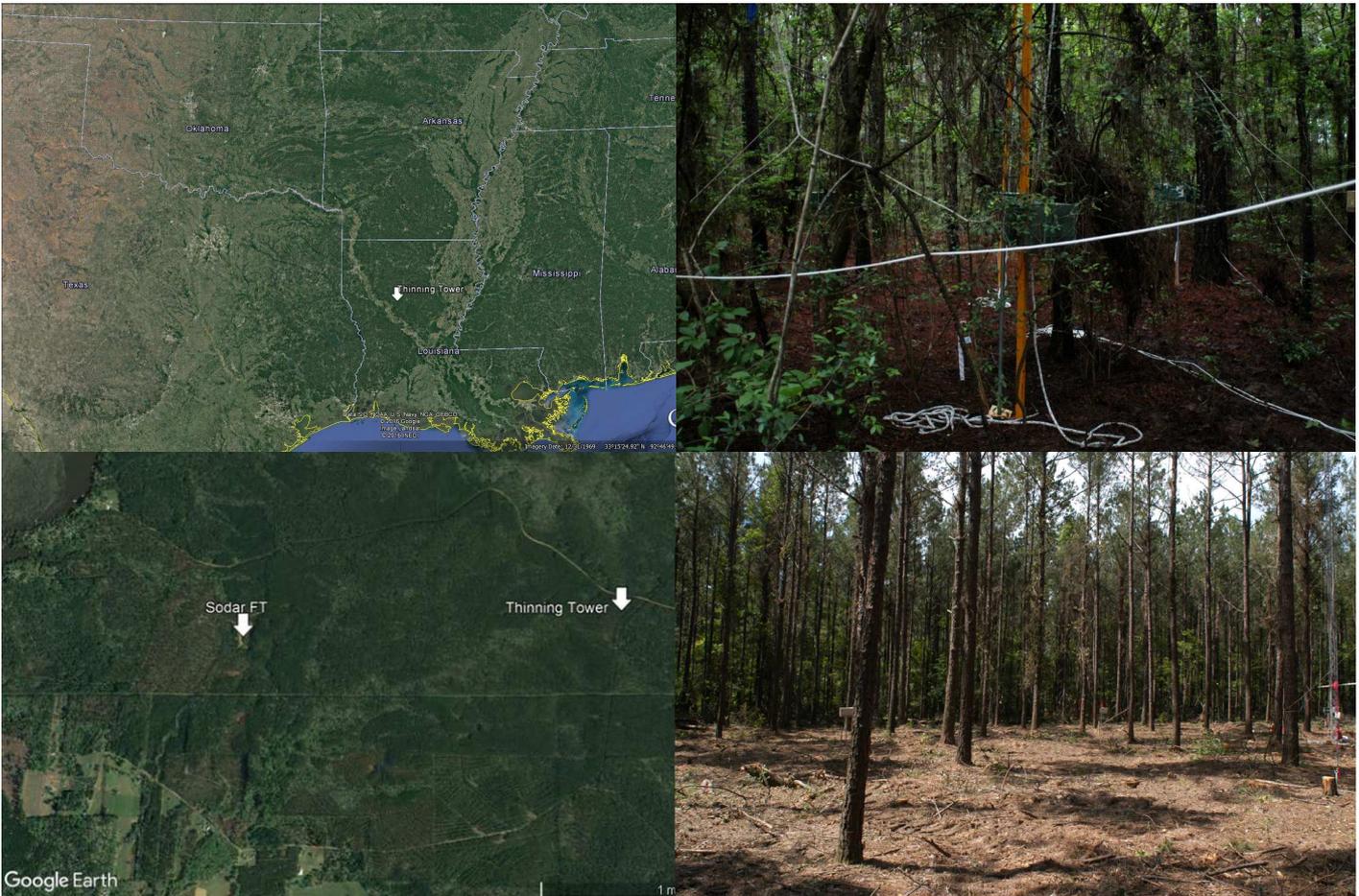
514 **Figure 7.** Three different stability components for UT and T1 (a, c, and e) and T2 and T3 (b, d,
515 and f) for the layer between 0.13h and 0.83h (filled circles) and 0.83h and 1.13h (x markers).
516 Top row (a and b) is the vertical temperature gradient (dT/dz); middle row (c and d) is z/L ,
517 where z is the measurement height and L is the Obukhov length, and the bottom row (e and f) is
518 the flux Richardson number (Ri_f).

519 **Figure 8.** Scatter plot between the vertical temperature gradient through the whole layer ($[\Theta_{1.13h}$
520 $- \Theta_{0.83h}]$ for 1.13h and $[\Theta_{0.83h} - \Theta_{0.13h}]$ for 0.83h and 0.13h) (a, c, and e) and local z/L (b, d, and f)
521 compared to σ_w at 1.13h (a and b), 0.83h (c and d), and 0.13h (e and f).

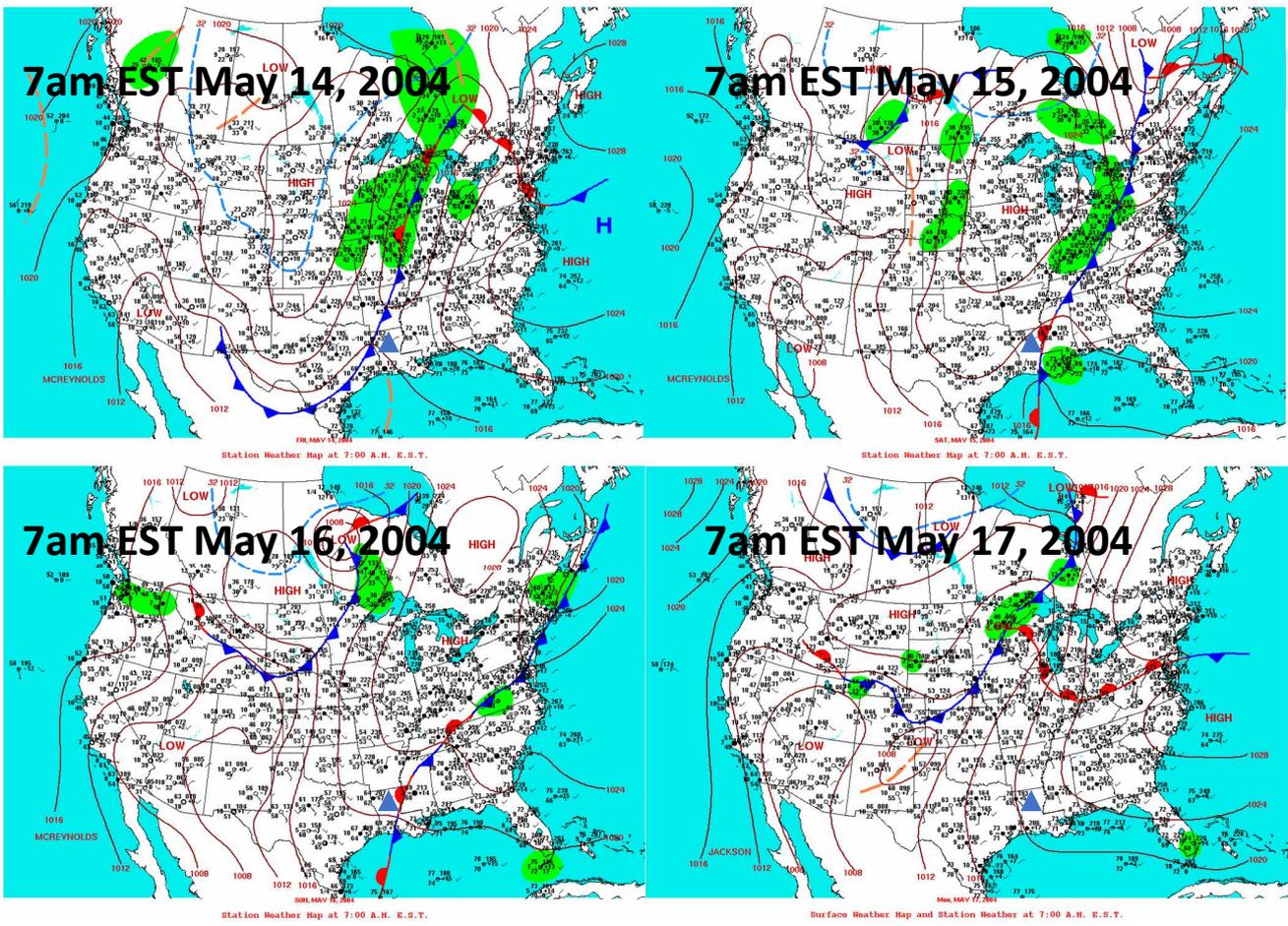
522 **Figure 9.** Vertical profile of u_* (a) and family portrait of the vertical profile: b) streamwise wind
523 (V) normalized by streamwise at 1.13h (V_H), c) σ_w/u_* ; d) σ_v/u_* ; e) $\overline{w'V'}/u_*^2$; f) R_{wv} . The
524 normalization value for 6b-6e were the relevant value at 1.13h. The shading is the range of
525 values reported in studies cited in the text.

526 **Figure 10.** Mean global wavelet spectra for the four different thinning conditions (colors) and
527 the three different heights (1.13h: a, d, and g, 0.83h: b, e, and h, and 0.13h: c, f, and i) over the
528 course of the study period for each wind component (u : top row, a-c; v : middle row, d-f; and w :
529 bottom row, g-i).

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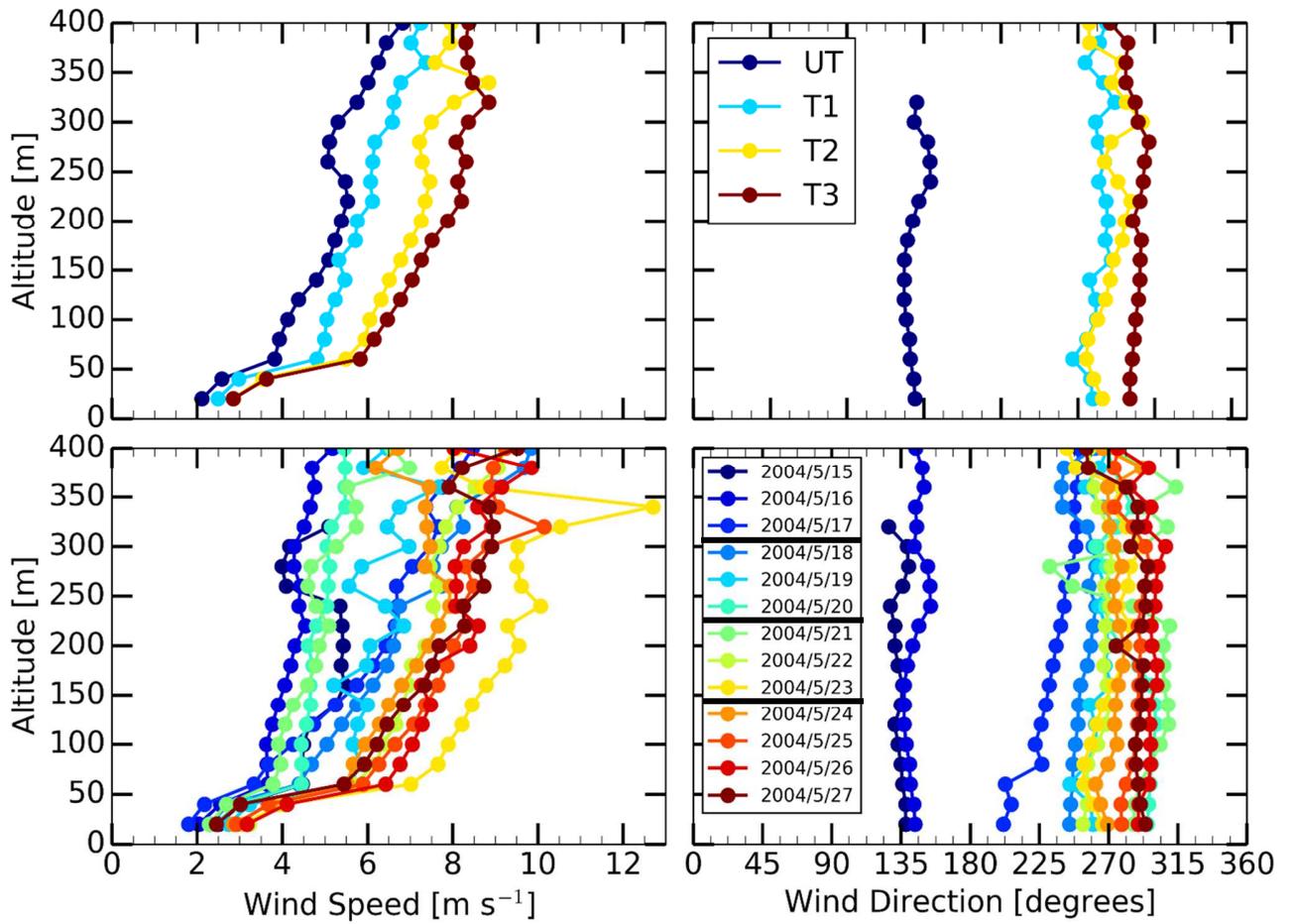


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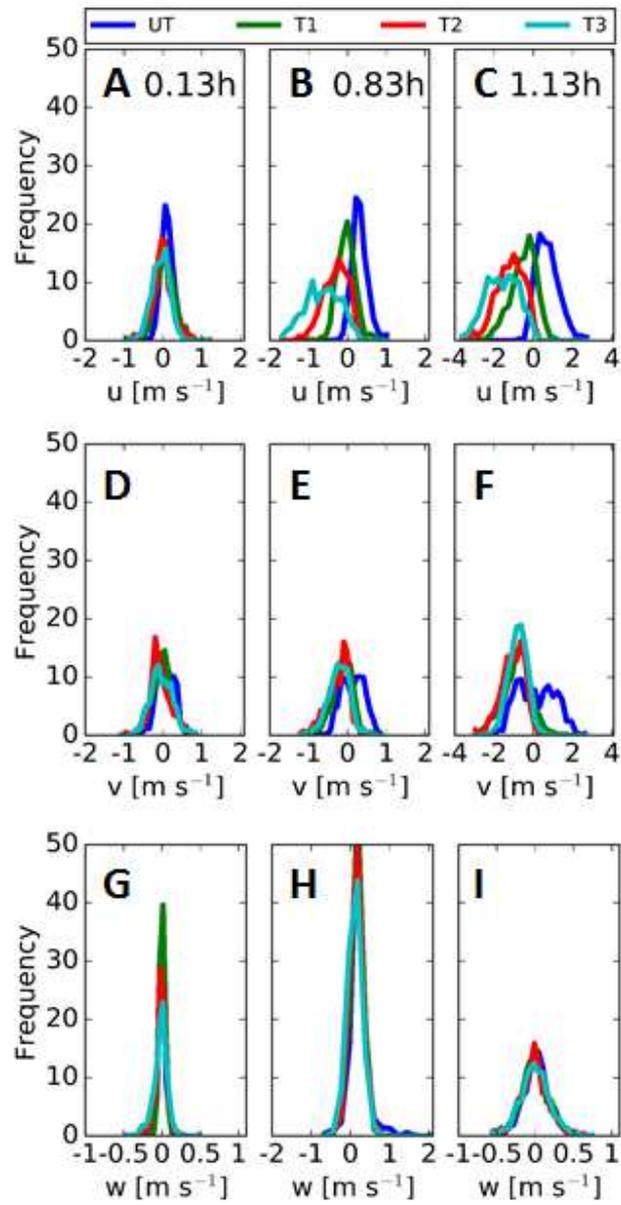
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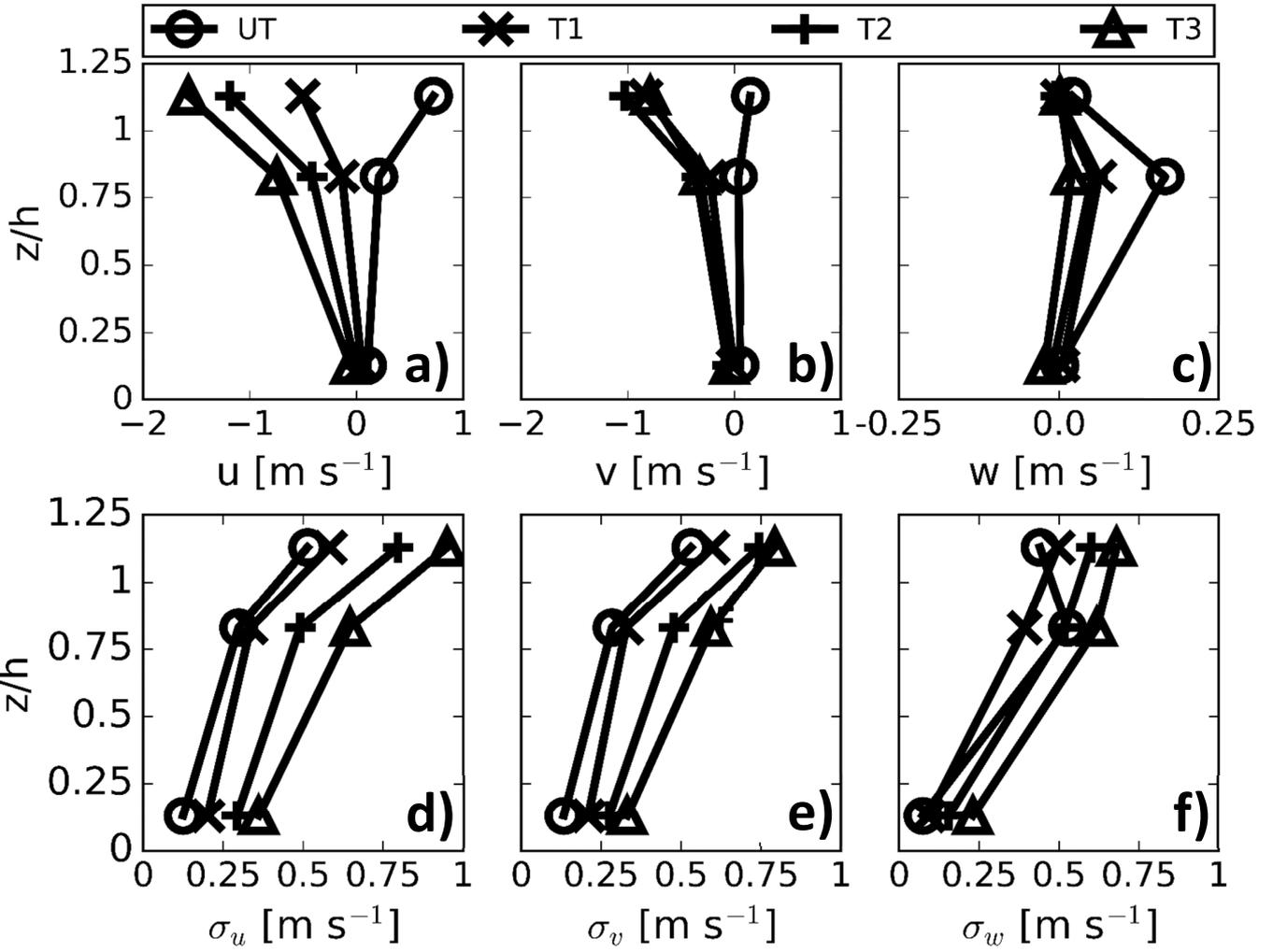
544

545 **Figure 3.** SODAR data showing the vertical profiles of wind speed (left column) and wind
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548

549 **Figure 4.** Distribution of the u (a, b, c), v (d, e, f), and w (g, h, i) wind components at 0.13h
 550 (left), 0.83h (middle) and 1.13h (right) for each stand density.



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