

1 **Eastern national parks protect greater tree species diversity than unprotected matrix forests.**

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18

19 **Abstract**

20 Decline in tree species diversity is a widespread trend in eastern US forests, with implications for
21 ecosystem functions and services, biodiversity and vulnerability to climate change and other stressors.

22 While some impacts on diversity are widespread such as forest pests, forest management practices vary
23 across the landscape. For example, forests in US national parks are managed to promote ecological
24 integrity, develop under natural disturbance regimes, and are largely protected from timber harvesting. In
25 this study we compared forests in 39 eastern US national parks with surrounding matrix forests to assess
26 whether forest protection has led to differences in tree diversity patterns in parks. We calculated multiple

27 alpha and beta diversity metrics using tree stem data. We examined alpha diversity metrics at the scale of
28 the 7.31 m radius subplot and for an equal number of individuals, and examined beta diversity at multiple
29 scales. This is the first study to compare tree diversity in protected lands with the surrounding forest
30 matrix over such a large area of the US, and is only possible because of the 10+ years of data that are
31 publicly available from US Forest Service (USFS) Forest Inventory and Analysis (FIA) and the National
32 Park Service (NPS) Inventory and Monitoring (I&M) programs. Overall, results indicated that park
33 forests have consistently greater alpha diversity. Park forests have higher tree species richness,
34 particularly after the influence of the number of individuals was removed. Park forests also consistently
35 had higher Shannon Evenness, lower McNaughton Dominance, and higher percentage of rare species.
36 Beta diversity analyses also suggest that parks were less homogenous across sites, although results are
37 exploratory due to differences in scale and small sample size. While a number of studies have
38 documented higher diversity in protected areas, few studies have examined multiple diversity metrics or
39 covered the large area of our study. Combining these results with a previous study, which found parks to
40 have consistently greater structural complexity than surrounding forests, park forests may respond
41 differently and potentially be more resilient to climate change and other stressors than unprotected forests,
42 as there is a greater chance that some of the tree species or size cohorts present will persist through
43 climate change. Continued monitoring is important to determine how forests respond to climate change
44 and other stressors, and whether specific management actions, such as protecting more forests,
45 translocating species, or altering management practices, are necessary to maintain forest biodiversity and
46 function.

47

48 *Keywords: tree species diversity; forest management; climate change; alpha and beta diversity; Forest*
49 *Inventory and Analysis; National Park Service Inventory and Monitoring*

50

51 **1. Introduction**

52 Decline in tree species diversity at both local and regional scales is a widespread trend in eastern
53 US forests (Schulte et al. 2007; Shields et al. 2007; Amatangelo et al. 2011; Nuttle et al. 2013; Thompson
54 et al. 2013). Introductions of exotic pests and pathogens have resulted in direct loss of multiple tree
55 species once common to the eastern forest, including American chestnut (*Castanea dentata*) and
56 American elm (*Ulmus americana*) (Ellison et al. 2005; Loo 2009). More recently, hemlock woolly
57 adelgid (*Adelges tsugae*) has caused widespread decline and mortality of eastern hemlock (*Tsuga*
58 *canadensis*) throughout much of its range (Vose et al. 2013). Additionally, emerald ash borer (*Agilus*
59 *planipennis*) is causing extensive mortality of ash species (*Fraxinus* spp.), functionally removing ash as a
60 component of eastern forests within the continually expanding range of infestation (Flower et al. 2013).

61 Tree diversity has also been impacted by an overabundance of deer throughout the eastern US
62 (Matonis et al. 2011; Nuttle et al. 2013; Côte et al. 2014). In the Great Lakes region, elevated deer browse
63 pressure has severely impacted conifer regeneration, particularly for northern white cedar (*Thuja*
64 *occidentalis*), eastern hemlock (*Tsuga canadensis*) and eastern white pine (*Pinus strobus*), leading to a
65 loss of conifer species in the canopy and increased homogeneity in regional forest composition (Rooney
66 and Waller 2003; Côte et al. 2004; Salk et al. 2011; White 2012). Reduced tree diversity has also been
67 documented in the mid-Atlantic and Midwest regions, where forests once dominated by multiple species
68 of oak (*Quercus* spp.) and hickory (*Carya* spp.) are being replaced primarily by American beech (*Fagus*
69 *grandifolia*) and red maple (*Acer rubrum*) (Nowacki and Abrams 2008; Nuttle et al. 2013). Termed
70 ‘mesophication’, this pattern is widespread, with the combined impacts of fire suppression, deer
71 overabundance, altered disturbance regimes and climate change considered the likely causes (Nowacki
72 and Abrams 2008; McEwan et al. 2011; Brose et al. 2013).

73 In eastern forests, such as oak-hickory and northern hardwood forests, stand-replacing
74 disturbances are infrequent natural disturbances, with the composition in these forests driven more by
75 frequent low intensity disturbances, environmental gradients and climate under natural conditions
76 (Lorimer and White 2003). However, historic patterns of land use and timber harvesting have led to local

77 and regional declines in tree species diversity (Boucher et al. 2009; Thompson et al. 2013; Kern et al.
78 2017). Through centuries of land clearing and timber harvesting, northeastern forest composition has
79 become more similar across the region, less coupled with climatic factors and environmental gradients,
80 and more dominated by early to mid-successional species (Thompson et al. 2013). These patterns have
81 been documented in similar forest communities in the Great Lakes region (Schulte et al. 2007; Hanberry
82 et al. 2012). Modern-day harvesting practices can also contribute to patterns of tree diversity (Neuendorff
83 et al. 2007; Shields et al. 2007; Boucher et al. 2009; Clark and Covey 2012). For example, selection
84 methods in northern hardwood forests have favored sugar maple (*Acer saccharum*) or American beech
85 (*Fagus grandifolia*) regeneration over species that are less tolerant of shade, are sensitive to deer browse
86 or that require exposed mineral soil or coarse woody debris to germinate (Nuttle et al., 2013; Kern et al.
87 2017). This has led to an overall decrease in tree diversity, including lower species richness and greater
88 dominance of shade tolerant species, where applied (Neuendorff et al. 2007; Shields et al. 2007; Bolton
89 and D'Amato 2011; Kern et al. 2017). At the other extreme, even-aged management also tends to favor
90 forests dominated by a few early successional species, such as quaking aspen (*Populus tremuloides*) and
91 paper birch (*Betula papyrifera*; Schulte et al. 2005). Conversely, moderate intensity removals, such as
92 shelterwood cutting, have been shown to maintain or enhance species diversity compared to other
93 harvesting methods (Niese and Strong 1992).

94 Higher tree diversity has been associated with greater ecosystem functions and services at local
95 (Gamfeldt et al. 2013; Lefcheck et al. 2015) and regional scales (van der Plas et al. 2016), along with
96 greater site productivity (Paquette and Messier 2011; Vilá et al. 2013), and increased diversity of forest
97 flora and fauna (Schmit et al. 2005; Hobson and Bayne 2000; Barbier et al. 2008; Sobek et al. 2009).
98 Higher tree diversity can also provide greater forest resilience, which is the capacity for ecosystems to
99 absorb disturbance and change while maintaining similar ecosystem functions, composition and structure
100 (Elmqvist et al., 2003; Millar et al., 2007). For example, higher tree diversity can reduce impacts of insect
101 herbivory (Jactel and Brockerhoff 2007) and moderate the effects of environmental fluctuations
102 (Aussenac et al. 2016). Moreover, in a changing climate, where species-specific responses are unknown,

103 managing forests to promote tree diversity is a commonly suggested strategy for promoting forest
104 resilience and adaptive capacity (Millar et al. 2007; D'Amato et al. 2011; Janowiak et al. 2014). The
105 reasoning for this approach is that diverse forests will likely have a broader range of responses to stressors
106 and climate change (i.e., response variability) than less diverse forests, and therefore be less vulnerable to
107 rapid state shifts (e.g., conversion to grassland) and/or loss in ecosystem function (Millar et al. 2007).
108 Given the importance of tree diversity, current trends of decline are of great concern to forest managers
109 and conservationists (Schulte et al. 2007; White 2012), and understanding the underlying causes are
110 important to ensure that eastern forests remain diverse and able to adapt to climate change and other
111 stressors over time.

112 While some impacts on diversity are widespread such as forest pests and pathogens, forest
113 management practices vary across the landscape. For example, forests in US national parks are managed
114 to promote ecological integrity, develop under natural disturbance regimes, and are largely protected from
115 timber harvesting. Recent meta-analyses have found protected areas to preserve greater diversity than
116 unprotected areas (Coetzee et al. 2014; Gray et al. 2015). However these studies only considered species
117 richness and abundance in their comparisons, and datasets from eastern US forests were underrepresented
118 or absent in the analyses. Data available from the US Forest Service (USFS) Forest Inventory and
119 Analysis (FIA) program have been used in a number of studies to examine patterns and drivers of tree
120 diversity across the eastern US (Canham and Thomas 2010; Belote et al. 2011; Woodall et al. 2011;
121 Siefert et al. 2013). However, the majority of forests monitored by USFS-FIA are not reserved from
122 timber production (Oswalt et al. 2014; Miller et al. 2016), and forest management may have influenced
123 the diversity patterns that were examined by these studies. The 10+ years of data available from the
124 National Park Service (NPS) Inventory and Monitoring (I&M) program provide a unique opportunity to
125 examine patterns of tree species diversity in forests that are managed for ecological integrity, and
126 compare diversity patterns with unprotected forests using USFS-FIA data. Structural differences have
127 already been documented between eastern national parks and surrounding unprotected forests, with parks
128 consistently having greater structural complexity than surrounding forest lands (Miller et al. 2016). The

129 observed structural differences are likely due to differences in management between parks and
130 surrounding matrix forests. The question remains whether management differences have also influenced
131 tree diversity patterns in park forests compared with surrounding matrix forests.

132 In this study we use a similar approach as Miller et al. (2016) to compare forests in eastern parks
133 with surrounding matrix forests to assess whether the protection status of parks has led to differences in
134 tree diversity patterns, and discuss the implications of observed patterns in the context of climate change
135 vulnerability and adaptation. Our analysis incorporates multiple metrics of alpha and beta diversity and
136 covers 39 national parks in the eastern US. This is the first study to compare tree diversity in protected
137 lands with the surrounding forest matrix over such a large area of the US, and is only possible because of
138 the 10+ years of data that are now publicly available from USFS-FIA and NPS I&M programs.

139

140 **2. Methods**

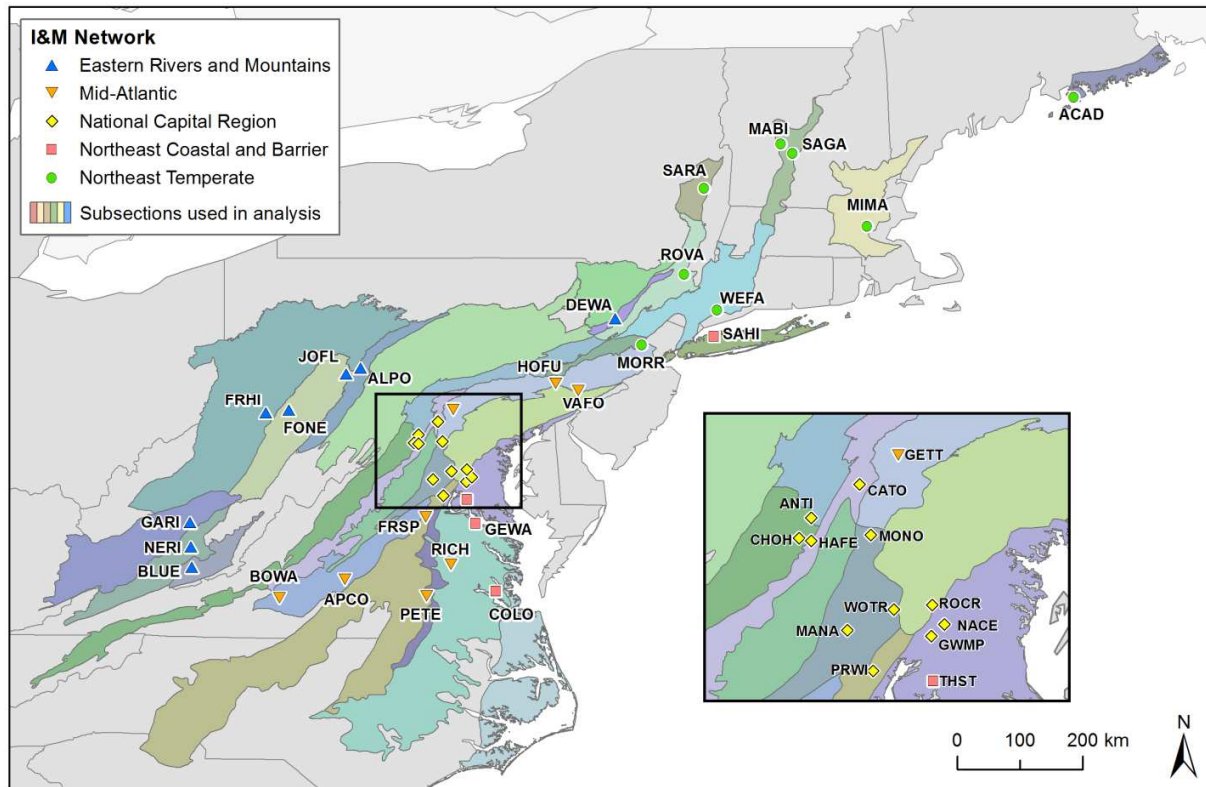
141 *2.1 NPS Site Selection*

142 The parks in this study represented a range of sizes, and included the following designations:
143 National Battlefield (NB), National Battlefield Park (NBP), National Historical Park (NHP), National
144 Historic Site (NHS), National Memorial (NM), National Military Park (NMP), National Monument
145 (NMo), National Park (NP), National Recreation Area (NRA), National River (NR), and National Scenic
146 River (NSR; Table 1). Parks were located across five NPS I&M regional networks (Figure 1) and covered

Network	Code	Park Area (ha)		# Forest Plots
		Total	Forest	
Eastern Rivers and Mountains	ERMN			
Allegheny Portage Railroad National Historic Site	ALPO	503	430	23
Bluestone National Scenic River	BLUE	1,236	1,144	40
Delaware Water Gap National Recreation Area	DEWA	22,839	19,313	96
Fort Necessity National Battlefield	FONE	373	276	20
Friendship Hill National Historic Site	FRHI	280	224	20
Gauley River National Recreation Area	GARI	1,930	1,779	40
Johnstown Flood National Memorial	JOFL	72	23	12
New River Gorge National River	NERI	21,528	19,615	102
Mid-Atlantic	MIDN			
Appomattox Court House National Historical Park	APCO	687	442	28
Booker T. Washington National Monument	BOWA	100	62	8
Fredericksburg & Spotsylvania National Military Park	FRSP	3,056	2180	104
Gettysburg National Military Park	GETT	1,743	548	33
Hopewell Furnace National Historic Site	HOFU	343	270	16
Petersburg National Battlefield	PETE	1,092	923	52
Richmond National Battlefield Park	RICH	819	585	32
Valley Forge National Historical Park	VAFO	1,395	538	28
Northeast Coastal and Barrier	NCBN			
Colonial National Historical Park	COLO	2,219	1,471	48
George Washington Birthplace National Monument	GEWA	216	87	8
Sagamore Hill National Historic Site	SAHI	29	17	4
Thomas Stone National Historic Site	THST	179	123	8
National Capital Region	NCRN			
Antietam National Battlefield	ANTI	759	129	12
Catoctin Mountain Park	CATO	2,282	2,237	49
Chesapeake and Ohio Canal National Historical Park	CHOH	5,980	4,261	75
George Washington Memorial Parkway	GWMP	1,661	969	20
Harpers Ferry National Historical Park	HAFE	1,480	1,091	20
Manassas National Battlefield Park	MANA	1,727	784	16
Monocacy National Battlefield	MONO	530	132	14
National Capital Parks East	NACE	3,088	1,942	47
Prince William Forest Park	PRWI	5,089	4,899	145
Rock Creek Park	ROCR	1,061	812	19
Wolf Trap Park for the Performing Arts	WOTR	43	26	6
Northeast Temperate	NETN			
Acadia National Park	ACAD	14,577	8,178	171
Marsh-Billings-Rockefeller National Historical Park	MABI	223	196	24
Minute Man National Historical Park	MIMA	391	234	20
Morristown National Historical Park	MORR	676	626	28
Roosevelt-Vanderbilt National Historic Sites	ROVA	446	338	40
Saint-Gaudens National Historic Site	SAGA	80	48	21
Saratoga National Historical Park	SARA	1,156	687	32
Weir Farm National Historic Site	WEFA	28	18	10

147

148 Table 1. Information on NPS I&M Networks and parks in this study.



149
 150 Figure 1. Map of national parks and Ecological Subsections that were included in this study. See Table 1
 151 for full park names.

152
 153 multiple forest types, including mid-Atlantic oak-hickory forests, northern hemlock-hardwood forests,
 154 and boreal spruce-fir forests. In contrast to many of the large iconic national parks in the western US,
 155 which were often set aside to protect unique environments, unusual geologic formations and/or expansive
 156 scenery, many of the parks in the eastern US are cultural parks that were set aside to preserve important
 157 historical events (e.g., Fort Necessity NB, Gettysburg NMP, Manassas NBP, Saratoga National NHP),
 158 and/or the homes of important historical figures (e.g., Home of Franklin D. Roosevelt NHS, George
 159 Washington Birthplace NM, and Booker T. Washington NM). While the land use histories prior to park
 160 establishment are not well known for all parks in this analysis, where known, they typically reflect the
 161 patterns of European settlement, land clearing, and agricultural abandonment of their region (e.g.,
 162 National Park Service 2007, 2009a, 2009b, 2011). This study includes 39 national parks where forest

163 monitoring is currently being implemented by the NPS I&M program, and where methods allow for
164 direct comparisons of tree density with 7.31 m radius USFS-FIA subplots.

165 For this study, we used the most recent complete set of forest plot surveys for each park, which
166 typically was 2013–2016, but ranged from 2011–2016. Because many diversity metrics are sensitive to
167 differences in area and/or numbers of individuals (Crawley and Hurrall 2001), and to ensure that
168 comparisons between NPS I&M and USFS-FIA forest plots were comparable, we only included live trees
169 within 7.31 m radius of the center of each NPS forest plot to match the area of a USFS-FIA subplot.
170 Additionally, we only included live trees ≥ 12.7 cm diameter at breast height (DBH) to match USFS-FIA's
171 minimum tree DBH (USFS 2016). For more details on NPS I&M sample design and survey methods,
172 refer to network and/or park-specific protocols (Comiskey et al. 2009b; Perles et al. 2014; Schmit et al.
173 2014; and Tierney et al. 2015).

174

175 *2.2 Matrix Characterization*

176 To characterize the matrix surrounding each park, we included USFS-FIA Phase 2 (i.e. ground-
177 sampled) plots that had at least one fully forested and sampled 7.31 m radius subplot and that were
178 located in the same ecological subsection as each park (US Forest Service 2015). Parks that crossed
179 multiple ecological subsections included USFS-FIA plots from all of the ecological subsections crossed
180 by the park to represent their matrix. Ecological Subsections are contiguous areas that have the same
181 potential natural vegetation communities due to shared geology, topography and climate (ECOMAP
182 1993). Forests in parks and surrounding lands are largely second growth and share similar land-use
183 histories prior to park establishment. Therefore, by comparing forest plots in parks with USFS-FIA plots
184 in the same Ecological Subsection, observed differences should be primarily due to different management
185 regimes, rather than environmental or climatic differences. We used the publicly available fuzzed,
186 swapped USFS-FIA plot locations (<http://www.fia.fs.fed.us/tools-data/>, accessed April 2017), and
187 included plot surveys from the most recent population evaluation group for each state that were available

188 at the start of this study. The population evaluation groups ranged from 2014–2015 and included surveys
189 from 2009–2015, depending on the length of survey cycle in each state, which ranged from 5 to 7 years.
190 Although the year of observations of plots varied by as much as six years, there should be little difference
191 in species composition across the time period, as we only examined adult trees which turn over slowly.
192 We downloaded the relevant USFS-FIA data tables by state and performed all USFS-FIA data queries
193 directly in R 3.4.0 (R Core Team 2017), and provide the code we used as supplementary material.

194 While USFS-FIA plots typically have four subplots, we only included the first (based on the
195 subplot order) fully forested and sampled subplot per FIA plot so that subplots from the same plot were
196 not sampled together in the same bootstrap iteration (see below). USFS-FIA subplots that fell within
197 parks, based on ownership designations, were excluded from the analysis because we wanted to explicitly
198 compare the tree diversity in park forests with non-park (i.e., matrix) forests.

199 To compare alpha diversity metrics between park and matrix forests, we generated a sampling
200 distribution for each diversity metric using bootstrapping with replacement across 1000 replications of
201 randomly selected USFS-FIA subplots within each park’s corresponding matrix. To ensure comparisons
202 were compatible between park and matrix forests, each of the 1000 bootstrap replications selected the
203 same number of USFS-FIA subplots as the number of forest plots sampled by the NPS I&M program in
204 the corresponding park. The only exception is Acadia National Park (ACAD), where the number of NPS
205 I&M forest plots exceeded the number of USFS-FIA plots in the Ecological Subsection (171 forest plots
206 in ACAD vs. 96 USFS-FIA subplots). In this case, we selected 50 NPS I&M forest plots in ACAD based
207 on their Generalized Random-Tessellation Stratification (GRTS) priority to calculate the means for each
208 diversity metric. GRTS is an algorithm that generates a spatially balanced randomized sample of locations
209 (Stevens and Olsen 2004), and was used by the NPS I&M networks to determine forest plot locations.
210 Selecting the first 50 consecutive forest plots in ACAD based on their GRTS priority therefore provided a
211 spatially balanced, representative sample of forest plots in the park. We then used 50 as the number of
212 USFS-FIA plots for each bootstrap sample to compare with ACAD. We used the bootstrapped USFS-FIA
213 subplot (hereafter referred to as plot) data to generate a sampling distribution of each alpha diversity

214 metric of interest for each park's surrounding matrix, and compared the matrix sampling distribution with
215 the corresponding mean diversity metric from each park.

216 For comparisons of beta diversity between park and matrix forests, we used the same set of
217 USFS-FIA plots from the alpha diversity analysis to represent each park's surrounding matrix. However,
218 for the beta diversity analysis, we calculated beta diversity metrics (e.g., Jaccard similarity) and distances
219 between all combinations of USFS-FIA plot pairs in each park's matrix, rather than bootstrapping a
220 sampling distribution. Finally, to determine how the total number of species in each matrix varied and
221 potentially explained diversity patterns, we calculated the regional species pool. The regional species pool
222 was represented as the total number of tree species that were present across all of the USFS-FIA subplots
223 corresponding to a park's matrix to examine how the regional species pool may affect diversity patterns
224 observed across the study area (e.g. latitudinal gradients).

225

226 *2.3 Diversity Metric Calculations*

227 Following recommendations by McGill (2011), we calculated five alpha diversity metrics for
228 each plot: number of individuals, tree species richness, Shannon Evenness, McNaughton Dominance, and
229 Percent Rare N/S (# individuals/# species). We chose these five metrics because they quantify different
230 aspects of the species abundance distribution and were found by McGill (2011) to be relatively
231 independent of one another (except for richness and number of individuals) and high performing metrics
232 even with small sample sizes. Number of individuals is the number of live tree stems ≥ 12.7 cm DBH that
233 were present. Richness is simply the number of species present. Shannon Evenness is a measure of how
234 similar relative abundances are among species, with higher values indicating greater diversity (Mauer and
235 McGill 2011). We used the BiodiversityR package in R to calculate Shannon Evenness (i.e., Jevenness;
236 Kindt and Coe 2005). McNaughton Dominance is the sum of the relative abundance of the two most
237 abundant species, with lower values indicating greater diversity (McNaughton and Wolf 1970). Percent
238 Rare N/S is the percent of species that have fewer individuals than the abundance of an average species
239 on the plot (Maurer and McGill 2011). Higher percent Rare N/S values indicate higher diversity, although

240 this metric is best interpreted in combination with the other diversity metrics. Shannon Evenness,
241 McNaughton Dominance and Percent Rare N/S all range between 0 and 1. For a thorough description of
242 each metric and their interpretation, see Box 5.1 in Maurer and McGill 2011. Peet (1974) also provides a
243 helpful review of alpha diversity metrics. We calculated plot-level alpha diversity metrics including all
244 live trees ≥ 12.7 cm DBH within the 7.31 m of plot center for all NPS I&M plots and with USFS-FIA
245 plots in our sample. To remove the potential influence of different stem densities (i.e., number of
246 individuals) between park and matrix forests, we also calculated alpha diversity metrics for the five live
247 trees that were closest to the center of each NPS I&M plot and USFS-FIA plot in our sample. We selected
248 five as the number of trees in this part of the analysis to maximize the number of USFS-FIA plots that
249 were available for the analysis, while still having enough individuals to calculate diversity metrics.

250 To assess beta diversity of tree species, which is the turnover of species among sites, we
251 calculated multiple metrics of similarity between all pairs of NPS I&M plots per park and all pairs of
252 USFS-FIA plots per corresponding matrix using all live trees ≥ 12.7 cm DBH within the 7.31 m radius
253 plot area. We calculated incidence-based metrics of beta diversity, which treat all species equally, and
254 abundance-based metrics of beta diversity, which give higher weight to common species. For incidence-
255 based metrics, we calculated Jaccard and Sørensen similarity indices, two commonly used incidence-
256 based beta diversity metrics in plant ecology (Koleff et al. 2003; Barwell et al. 2015). We also calculated
257 β_{SIM} , which was derived by Lennon et al. (2003) from Simpson's asymmetric index and found to be a
258 robust incidence-based diversity metric that is less influenced by differences in richness between sites
259 than Jaccard and Sørensen (Koleff et al. 2003; Barwell et al 2015). For abundance-based beta diversity
260 metrics, we calculated $\beta_{MORISITA}$ and β_{HORN} , which have been shown to be high-performing abundance
261 based diversity metrics, such as being insensitive to sample size and differences in species richness (Beck
262 et al. 2013; Barwell et al. 2015). For each pair of plots that we calculated similarity metrics for, we also
263 calculated the geographic distance between the plots using the pointDistance function in the raster
264 package in R (Hijmans 2016).

265

266 *2.4 Statistical Analysis*

267 For the alpha diversity analysis, we calculated the mean for each diversity metric using the
268 bootstrapped sampling distribution per matrix and compared it with the mean diversity metric in the
269 corresponding park. Our bootstrapping approach avoids issues of unequal sample sizes and variance
270 between park and matrix forests that other common approaches, such as t-tests would have. Uneven
271 sample size is especially important to control for in biodiversity metrics, because many metrics like
272 species richness are strongly correlated with sample size (Crawley and Harral 2001, McGill 2011). We
273 calculated p-values for each metric by comparing the park mean to the empirical cumulative distribution
274 function of the bootstrapped sampling distribution in the corresponding matrix, using a two-tailed test.
275 We controlled for multiple comparisons by converting p-values to q-values with $\alpha=0.05$ as the Type I
276 error rate (Storey 2003; Dabney and Storey 2015) for all park/matrix comparisons per diversity metric.
277 Because each park versus matrix comparison was based on the number of forest plots that were located in
278 the corresponding park (i.e., varying number of plots between parks), and given the sensitivity of
279 diversity metrics to differences in scale, mean diversity metrics were not comparable across parks. To
280 account for this and to improve visual interpretation of the patterns across parks, we calculated the
281 percent difference in mean diversity between each park and matrix pair. Percent difference is
282 dimensionless and therefore more comparable across parks. Using this approach, a negative percent
283 difference value indicates that the park metric was lower than the matrix, whereas a positive value
284 indicates that the park metric was higher than the matrix. This approach assumes that the relationship
285 between diversity metrics and number of plots is linear, which may not always be true. However, it
286 should be roughly linear in a small region. Additionally, we only used the percent difference to improve
287 visual interpretation of the results, not the statistical analysis, which our results and conclusions are based
288 on.

289 We assessed beta diversity as a function of the decay in similarity between plots as geographic
290 distance increases, where a steeper decay in similarity indicates higher beta diversity (Condit et al. 2002,
291 Jurasinski et al. 2009), and therefore lower homogeneity in composition among sites. We examined

292 distance decay in similarity at two scales: all combinations of plot pairs in each matrix (i.e., full matrix),
293 and only matrix plot pairs that were within the range of the maximum distance between plot pairs within a
294 park (i.e., subsetted matrix). Both scales included all combinations of plot pairs within a park. Because
295 the maximum distance between plot pairs in a few parks was sometimes smaller than the minimum
296 distances between matrix plot pairs, the following parks were removed from the smaller subsetted
297 distance decay analysis: Johnstown Flood NM (JOFL), Saint-Gaudens NHS (SAGA), Thomas Stone
298 NHS (THST), Weir Farm NHS (WEFA), and Wolf Trap Park for the Performing Arts (WOTR). For each
299 park and matrix comparison, we used the `diffslope` function in the `simba` package in R (Jurasinski and
300 Retzer 2012) to calculate the slope of the log-transformed similarity metric and distance for each park and
301 matrix, and to test whether the slope was significantly different between each park and corresponding
302 matrix. We log-transformed similarity and untransformed distance in the analysis based on findings in
303 Nekola and White (1999) that this approach produced the most linear model. Using this model, a
304 significantly steeper slope in one dataset indicates higher species turnover at shorter distances and thus
305 higher beta diversity than a dataset with a shallower slope (Condit et al. 2002). Note that using the
306 publicly available fuzzed USFS-FIA plot locations may add noise to this analysis, but because the fuzzed
307 distances and directions are random, this should not affect the overall direction of the results (i.e., steeper
308 or shallower slope). All statistical analyses were performed in R 3.4.0 (R Core Team 2017), and the R
309 code used in this study is provided as supplementary material.

310

311 **3. Results**

312 *3.1 Alpha Diversity*

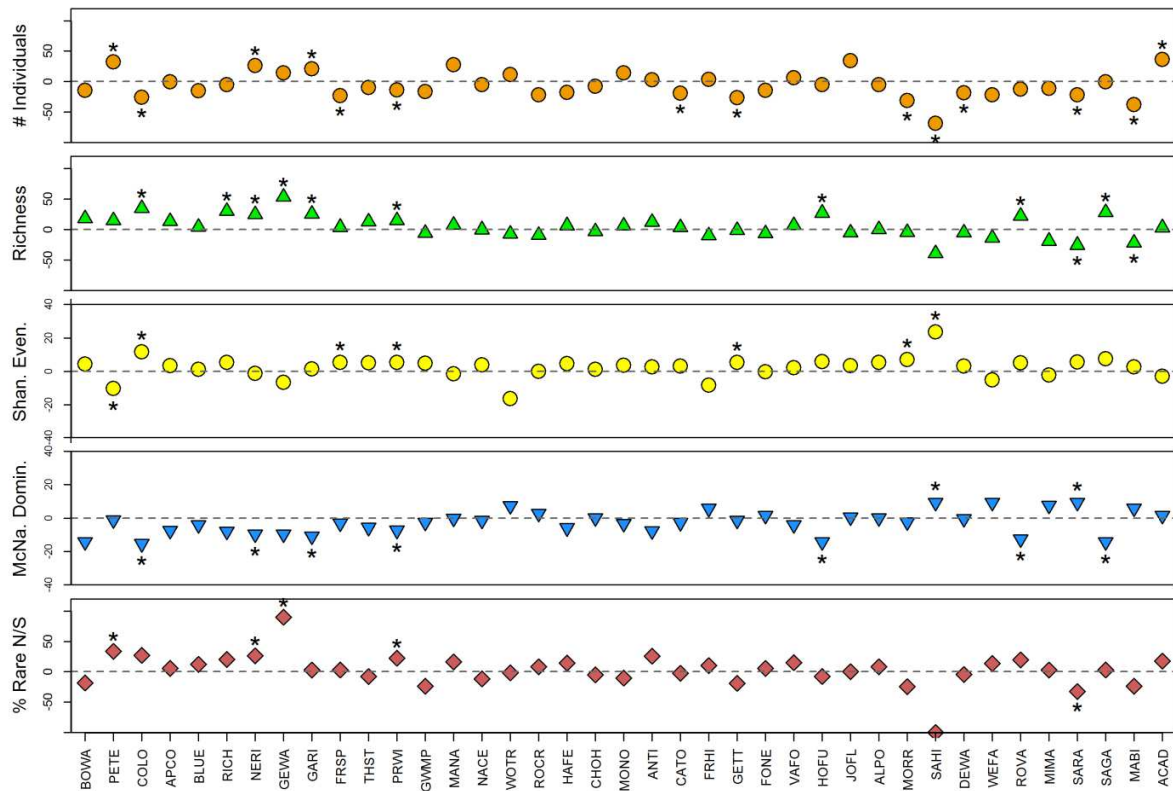
313 At the 7.31 m radius plot scale, parks tended to have fewer individuals (i.e., number of live stems)
314 per plot than their corresponding matrix (69% of parks; Figure 2). At this same scale, species richness
315 tended to be higher than matrix forests for 61% of the parks. However, given the sensitivity of species
316 richness to number of individuals, the tendency for more trees per plot in matrix forests may be obscuring
317 the tendency for parks to have greater richness. Patterns of Shannon evenness were more distinct, with

318 74% of parks having greater evenness of tree species than matrix forests. Despite tending to have fewer
319 individuals per plot, park forests also had lower McNaughton Dominance (69% of parks) and higher
320 percent of rare species, based on % Rare N/S (61% of parks). There were also slight latitudinal gradients
321 in the diversity patterns, with parks at lower latitudes more consistently having higher richness, lower
322 McNaughton dominance, and higher percent rare species than parks at higher latitudes. Regional species
323 pools were smaller for the more northern parks in this study (Table 2), which may partially explain the
324 latitudinal gradients observed in the percent rare metrics. In other words, northern parks had fewer species
325 in the regional species pool to contribute to diversity metrics.

326 Patterns of species richness between park and matrix forests were more distinct after controlling
327 for number of individuals by consistently including only the five closest trees to the center per plot. At
328 this scale, higher species richness was observed in 77% of parks compared to matrix forests (Figure 3).
329 Despite including only five trees, park forests still tended to be more even in abundance (61% of parks)
330 and had a higher percent of rare species (61% of parks) than matrix forests. Park forests were also less
331 dominated than matrix forests, with 74% of parks having lower mean McNaughton Dominance than
332 matrix forests. At the scale of five individuals, the latitudinal gradient for species richness was not present
333 and the McNaughton Dominance latitudinal gradient was much weaker.

334 Taken together, alpha diversity results suggest that, particularly after removing the influence of
335 the number of individuals, parks tend to have greater alpha diversity than matrix forests, with higher
336 species richness and a more even, less dominated distribution of abundance across species. This pattern
337 was observed in the majority of parks in the analysis, but was most consistent in lower latitude parks.
338 Several parks did have lower site-level alpha diversity than their surrounding matrix forests, and they
339 were typically the most northern parks, including ACAD, Friendship Hill NHS (FRHI), Johnstown Flood
340 NM (JOFL), Marsh-Billings-Rockefeller NHP (MABI), Minute-Man NHP (MIMA), Saratoga NHP
341 (SARA) and Weir Farm NHS (WEFA).

342



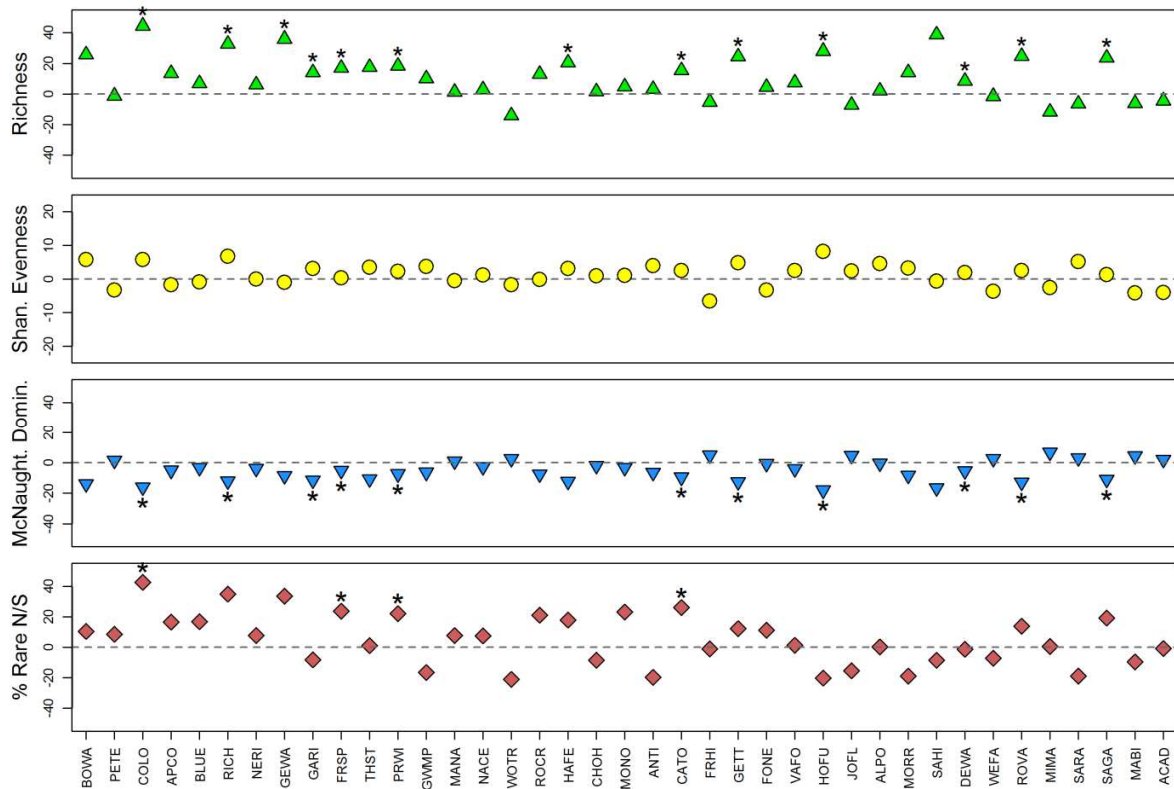
343
 344 Figure 2. Percent difference between park and matrix diversity metrics for all live trees within 7.31 m
 345 radius. Metrics include number of individuals, species richness, Shannon Evenness (Shan. Even.),
 346 McNaughton Dominance (McNa. Domin.), and Percent Rare N/S (% Rare N/S). Positive values indicate
 347 higher mean values for parks than matrix. Negative values indicate lower mean values for parks than
 348 matrix. Note that negative percent differences for McNaughton Dominance indicate higher diversity in
 349 parks. Stars indicate that the difference is significant. Parks are ordered from low to high latitude. Metric
 350 symbols and colors are consistent with Figure 3.

Network	Park	# USFS- FIA subplots	Regional Species Pool
ERMN	ALPO	237	47
	BLUE	61	36
	DEWA	205	46
	FONE	119	30
	FRHI	833	73
	GARI	417	61
	JOFL	298	47
	NERI	621	66
	MIDN	APCO	274
BOWA		274	44
FRSP		2015	87
GETT		109	51
HOFU		109	51
PETE		1656	86
RICH		769	72
VAFO		214	61
NCBN		COLO	1015
	GEWA	246	43
	SAHI	36	21
	THST	86	40
NCRN	ANTI	257	58
	CATO	177	48
	CHOH	1321	89
	GWMP	241	56
	HAFE	383	61
	MANA	50	34
	MONO	50	34
	NACE	86	40
	PRWI	937	71
NETN	ROCR	191	53
	WOTR	50	34
	ACAD	96	22
	MABI	119	30
	MIMA	205	38
	MORR	142	57
	ROVA	96	39
	SAGA	119	30
	SARA	43	36
WEFA	248	47	

351

352 Table 2. Information on matrix forests surrounding parks in this study.

353



354

355 Figure 3. Percent difference between park and matrix diversity metrics for the 5 closest trees. Metrics
 356 include species richness, Shannon Evenness (Shan. Even.), McNaughton Dominance (McNa. Domin.),
 357 and Percent Rare N/S (% Rare N/S). Positive values indicate higher mean values for parks than matrix.
 358 Negative values indicate lower mean values for parks than matrix. Stars indicate that the difference is
 359 significant. Parks are ordered from low to high latitude.

360

361 *3.2 Beta Diversity*

362 At the full matrix scale, parks usually had steeper slopes of similarity decay with distance than
 363 matrix forests across all five similarity metrics (Figure 4). The beta diversity metrics in our study treat
 364 shared and unshared species components differently in their calculations. These metrics also weigh
 365 species differently based on their abundance, with Sørensen, Jaccard, and β_{SIM} treating all species equally,
 366 and $\beta_{MORISITA}$ and β_{HORN} giving more weight to abundance species. The consistent pattern across all of the

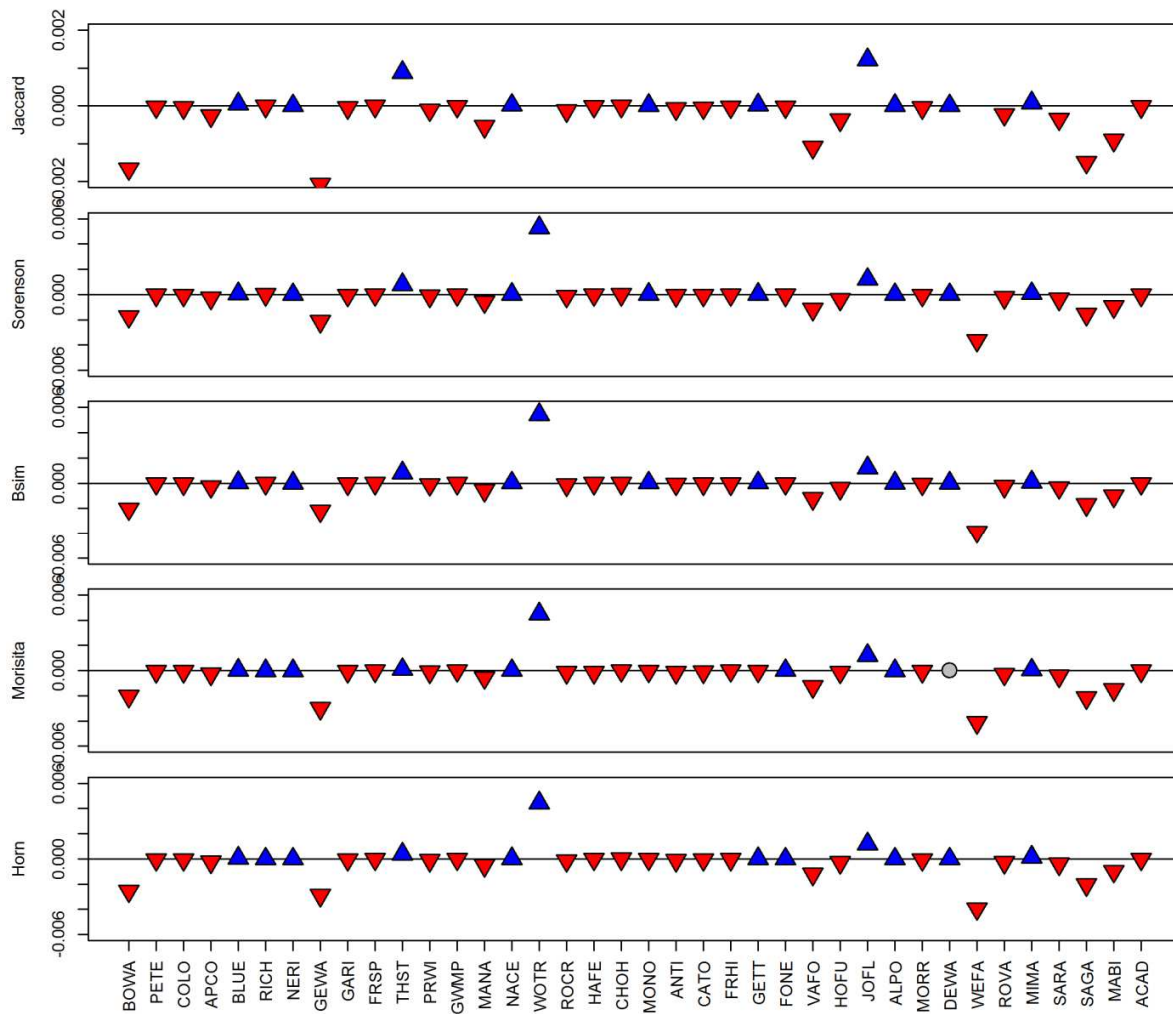
367 metrics in our study therefore provides strong evidence that beta diversity differs between park and matrix
368 forests. These results suggest that park forests had higher beta diversity and were less homogenous than
369 matrix forests. Notable exceptions to this pattern were parks in the Eastern Rivers and Mountains
370 Network (ERMN), including Bluestone NSR (BLUE), New River Gorge NR (NERI), FRHI, Allegheny
371 Portage Railroad NHS (ALPO), and Delaware Water Gap NRA (DEWA), which all had a significantly
372 shallower slope than their corresponding matrix. These results indicate that the forests in these ERMN
373 parks were potentially more homogenous than their corresponding matrix forests.

374 Given that parks in our analysis were considerably smaller in area than their surrounding matrix,
375 the patterns of distance decay in similarity could be strongly influenced by the differences in scale
376 between park and matrix datasets. After subsetting the matrix datasets to only include matrix plot pairs
377 that were within the maximum distance between plot pairs in their corresponding park, patterns were less
378 distinct (Figure 5). Differences in slopes between park and matrix forests tended to be smaller and fewer
379 parks had significantly different slopes than matrix forests. These results suggest that at the scale of parks,
380 distance decay in tree species similarity does not differ significantly from matrix forests. However, it is
381 unclear if this is due to an actual similarity in beta diversity between parks and matrix or a loss of power
382 to detect a difference due to a smaller sample and a shorter range of distances over which a decay of
383 similarity curve is fit.

384

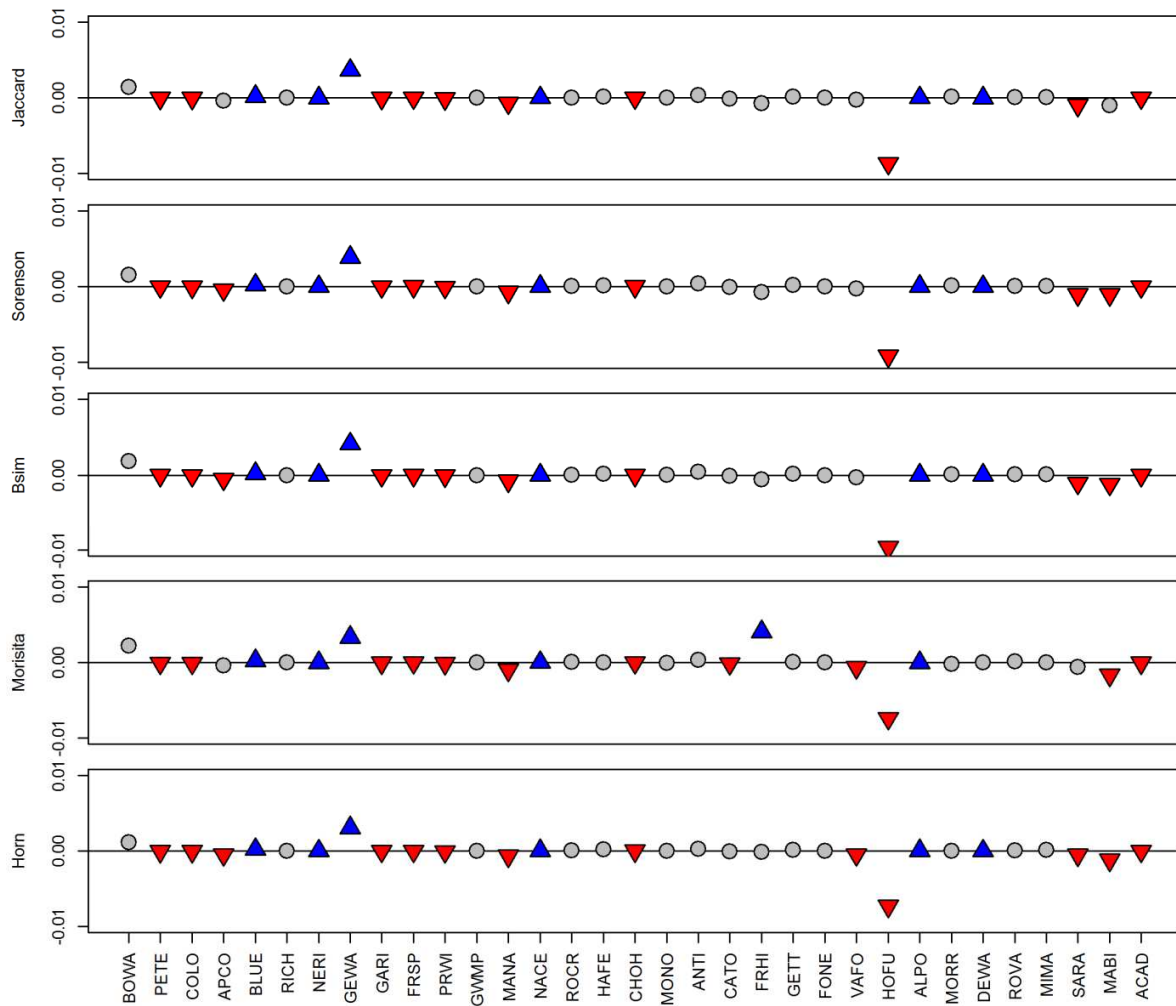
385 **4. Discussion**

386 Parks in our study consistently had higher tree species richness (particularly after controlling for
387 the influence of the number of individuals), higher Shannon Evenness, lower McNaughton Dominance,
388 and a higher percentage of rare species than surrounding matrix forests. Patterns were most consistent for
389 the southern parks in this study. At the full matrix scale, parks also consistently had a steeper distance
390 decay in similarity. These results suggest that protected areas, such as the national parks in our study, tend
391 to have greater alpha diversity of tree species and are potentially less homogenous across sites than
392 unprotected forests. Management practices in parks, such as removing invasive species and restoring



393

394 Figure 4. Differences in slope of distance decay in similarity between each park and full matrix. Red,
 395 downward pointing triangles indicate that a park slope is significantly steeper than the matrix slope. Blue,
 396 upward pointing triangles indicate that a matrix slope is significantly steeper than the park slope. Grey
 397 circles are not significant. Parks are ordered from low to high latitude.



398

399 Figure 5. Differences in slope of distance decay in similarity between each park and matrix that only
 400 includes distances between plots represented within parks. Red, downward pointing triangles indicate that
 401 a park slope is significantly steeper than the matrix slope. Blue, upward pointing triangles indicate that a
 402 matrix slope is significantly steeper than the park slope. Grey circles are not significant. Parks are ordered
 403 from low to high latitude.

404

405 degraded habitats, likely explain some of these diversity patterns, as the matrix forests surrounding parks
406 are largely under private ownership (Miller et al. 2016) and less likely to receive that level of
407 management. In addition, many of the parks in our study have been allowed to develop under natural
408 disturbance regimes and have been protected from timber harvesting for many decades to over a century.
409 Given that 73% of the forestland in the southern part of our analysis and 40% of the forestland in the
410 northern part of our analysis are composed of stands that are less than 60 years old (Oswalt et al. 2014),
411 protection from harvesting is likely an important factor in the differences we observed between park and
412 matrix forests. However, because we do not have the management histories of the matrix forests, we are
413 unable to determine the level of influence timber harvesting and the various harvesting methods have on
414 the patterns we observed. Similar impacts of forest management on tree diversity have been documented,
415 although they typically have focused on species richness (e.g., Clark and Covey 2012), were restricted to
416 a specific forest biome (e.g., Boucher et al. 2015), or were only conducted at stand-level scales (e.g.,
417 Shuler 2004; Neuendorff et al. 2007; Keyser and Loftis 2012). Our study is the first to document
418 consistent differences in tree diversity between protected and unprotected forests across multiple metrics
419 and scales and while covering multiple forest biomes (e.g., boreal, northern hemlock-hardwood, and oak-
420 hickory forests).

421 While most parks followed the pattern of greater alpha diversity than matrix forests, there were
422 several parks that consistently had lower alpha diversity than matrix forests, including ACAD, FRHI,
423 JOFL, MABI, MIMA, SARA, and WEFA. These tended to be the more northern parks in the study,
424 which had also smaller regional species pools than southern parks. Future studies to examine the
425 underlying causes of lower site-level diversity in these parks, particularly whether they are driven by
426 natural process (e.g., succession) or are the result of human-caused stressors (e.g., deer overabundance,
427 fire suppression, etc.), will be important information for park managers. These parks may be more
428 vulnerable to climate change and other stressors than matrix forests, and could benefit from management
429 strategies that seek to increase species diversity, such as assisted migration of species that may be more

430 adapted to climate changes in that park (Millar et al. 2007). Continued monitoring and adaptive
431 management will be important to ensure these parks maintain forest diversity and function over time.

432 The results from our study demonstrate the value of examining multiple metrics to explore
433 patterns of tree diversity including number of individuals, species richness and metrics that characterize
434 the shape of the species abundance distribution. For example, at the 7.31 m radius plot area, tree species
435 richness patterns were somewhat obscured by the fact that parks tended to have fewer trees per plot.
436 However, there were clear differences in other diversity metrics, with park forests being less dominated
437 by a few species and having a more even abundance distribution across species. Our study also
438 demonstrates the influence that number of individuals can have on species richness. We attempted to
439 control for this by using equal plot areas and equal number of plots in comparisons between parks and
440 matrix forests, but numbers of individuals between parks and matrix forests at the 7.31 m radius plot area
441 still varied. After selecting only five individuals per plot, the species richness pattern became clearer, with
442 parks having consistently higher richness than matrix forests. Despite only including five individuals,
443 patterns of the remaining diversity metrics were consistent with the full plot analysis.

444 Results from the beta diversity analysis at the full matrix scale tentatively suggested that parks
445 were less homogenous across sites than matrix forests. However, it is important to note that our beta
446 diversity results were exploratory, as differences in scale in the full matrix analysis and small sample
447 sizes at compatible scales in the subsetted matrix analysis impaired our ability to fully examine patterns of
448 beta diversity between park and matrix forests. Using the real USFS-FIA plot locations rather than the
449 fuzzed locations may reveal stronger patterns as well. The patterns of beta diversity for parks in Eastern
450 Rivers and Mountains Network (ERMN) also warrant further investigation. In contrast to the other
451 regional networks in this analysis, ERMN had multiple parks with a shallower slope than their
452 corresponding matrix, suggesting that the forests in these parks are more homogenous than matrix forests.
453 Another possibility is that environmental gradients in the matrix were not represented in parks. While this
454 is beyond the scope of our study, incorporating gradients as covariates, such as elevation or soil type, may
455 help determine whether missing environmental gradients explain the homogeneity in ERMN park forests.

456 Despite the drawbacks of our beta diversity analysis, this is the first study we are aware of that examines
457 how forest protection influences beta diversity. Our results show promise for future research on beta
458 diversity in eastern forests, particularly for studies conducted at compatible scales and that can
459 incorporate environmental gradients as covariates.

460 A number of studies examining the impacts of forest management on tree diversity have
461 documented a decoupling of forest composition with local environmental and climatic gradients (White
462 2012; Thompson et al. 2013; Boucher et al. 2015). For example, Thompson et al. (2013) found the
463 association between temperature and northeastern tree species composition to weaken dramatically
464 between pre-colonial times and current day. While Thompson et al. (2013) investigated the influence of
465 land use on the patterns they observed, they did not examine whether protected areas responded
466 differently over time. Future studies investigating whether tree species composition in protected areas
467 have stronger relationships with environmental and climatic gradients compared with unprotected forests
468 could improve predictions for how forests under different management regimes will respond to climate
469 change and other stressors.

470 Combining the results of this study with those of Miller et al. (2016), which found parks to have
471 consistently greater structural complexity than matrix forests, park forests may respond differently to
472 climate change and other stressors than managed forests. Given their greater species diversity and
473 structural complexity, park forests may also have greater response variability to climate change, as there
474 is a higher chance that at least some of the tree species or size cohorts present will persist through climate
475 change (Evans and Perschel 2009; Brockerhoff et al. 2017; D'Amato et al. 2011). However, it is important
476 to note that greater diversity does not always lead to greater resilience, particularly if functionally
477 equivalent species are likely to respond similarly to change (e.g., sensitive to drought). In addition, forests
478 with naturally low species diversity, such as jack pine (*Pinus banksiana*) forests, may be more resilient
479 than other forests to disturbance because they are well-adapted for stand-replacing fire disturbances
480 (Chapin et al. 2004). We also have yet to compare functional diversity of trees in protected and matrix
481 forests, which is another important factor likely to influence forest resilience and adaptive capacity

482 (Elmqvist et al. 2003). Although many parks contain greater tree diversity, invasive species, forest pests,
483 and elevated deer populations are an ongoing threat to forest diversity. Management practices that reduce
484 these stressors are important to ensure that parks maintain structurally and compositionally diverse forests
485 long term. Continued monitoring in protected and matrix forests is also important to determine how
486 forests respond to climate change and whether specific management actions, such as protecting more
487 forests, translocating species, or altering management practices, are necessary to maintain biodiversity
488 and function of eastern forests.

489

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494

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